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Peace Research and European Security Studies (AFES-PRESS), Chairman



Conferencia magistral

**Global Environmental Change
and Extreme Outcomes:
Implications for Human and
Environmental Security**

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1.1. Global Environmental Change (GEC): Environment & Security Linkages



GEC poses threats, challenges, vulnerabilities and risks for human security and survival.

1.2. Global Environmental Change: Concepts & Research Programmes

- ❖ Since 1970s, 1980s GEC focused on human-induced perturbations in environment encompassing many globally significant issues on natural & human-induced changes in environment, & socio-econ. drivers
 - IGBP or International Geosphere-Biosphere Programme;
 - IHDP or International Human Dimensions Programme;
 - World Climate Research Program (WCRP),
 - DIVERSITAS on biodiversity
- ❖ IHDP: contribution & adaptation of societies to changes, social, cult., econ., ethical, spiritual issues, our role & responsibility for the environ.
- ❖ GEC deals with **changes in nature & society that affect humankind** as a whole and human beings both a cause and victim, however those who have caused it and are most vulnerable to are often not identical.
- ❖ **GEC affects & combines ecosphere & anthroposphere.**
Ecosphere: atmosphere (climate system), *hydrosphere* (water), *litho-sphere* (earth crust, fossil fuels), *pedosphere* (soil), *biosphere* (life). *Anthroposphere: populations, social organisations, knowledge, culture, economy & transport*

2. Global Environmental Change and Security Concepts

- Does GEC pose security dangers, i.e. threats, challenges, vulnerability & risks?
- Which Security Concept are we using?
 - Narrow: national military security?
 - Widened & deepened security concept?
- Hypothesis: Thinking on security changed
 - Global, regional contextual change since when?
 - Scientific revolution or new theoretical approaches?
- Book Project: Global mental mapping of reconceptualization of security
 - Widening, deepening, shrinking, sectorialisation?

2.1. A Classical Definition in Political Science & International Relations

- ✦ Arnold Wolfers (1962), US of Swiss origin, realist pointed to two sides of the security concept:
- ✦ “Security, in an objective sense, measures the absence of threats to acquired values, in a subjective sense, the absence of fear that such values will be attacked”.
- ✦ Absence of “threats”: interest of policy-makers
- ✦ Absence of “fears”: interest of social scientists, especially of constructivists: “Reality is socially constructed”
- ✦ Iraq case: WMD: “subject. fear” vs. “lack of obj. threat”
- ✦ According to Møller (2003) Wolfer’s definition ignores: Whose values might be threatened? Which are these values? Who might threaten them? By which means? Whose fears should count? How might one distinguish between sincere fears and faked ones?

2.2. Security Perception: Worldviews/Mind-sets

- ✦ **Perceptions of security threats, challenges, vulnerabilities, risks depend on worldviews of analyst & mind-set of policy-maker.**
- ✦ **Mind-set** (Ken Booth): have often distorted perception of new challenges: include ethnocentrism, realism, ideological fundamentalism, strategic reductionism
- ✦ **Booth: Mind-sets freeze international relations** into crude images, portray its processes as mechanistic responses of power and characterise other nations as stereotypes.
- ✦ Old Cold War mind-sets have survived global turn of 1989/1990
- ✦ **3 worldviews** are distinguished by the English school:
 - ❖ *Hobbesian* pessimism (**realism**)
 - ❖ *Kantian* optimism (idealism) where *international law* and *human rights* are crucial; and
 - ❖ *Grotian* pragmatism where *cooperation* is vital

2.3. English School: **Hobbes**, Grotius & **Kant**



Hobbes (1588-1679)

Grotius (1583-1645)

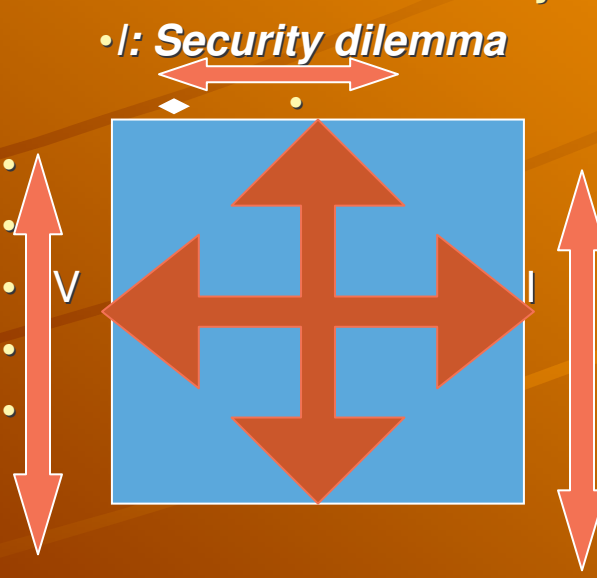
Kant (1724-1804)

Security perceptions depend on worldviews or traditions

- ❖ **Hobbessian pessimist:** *power* is the key category (narrow concept)
- ❖ **Grotian pragmatist:** *cooperation* is vital (wide security concept)
- ❖ **Kantian optimist:** *international law* and *human rights* are crucial

2.4. Conceptual Quartet: Security Concepts in relation with peace, environment & development

Pillars & linkage concepts within the quartet

IR research programs	Conceptual Quartet	Conceptual Linkages
<ul style="list-style-type: none"> ▪ Peace Research ▪ Security Studies ▪ Development Studies ▪ Environment Studies <p>4 conceptual pillars</p> <ul style="list-style-type: none"> ▪ I: <i>Security dilemma</i> ▪ II: <i>Survival dilemma</i> ▪ III: <i>Sust. developm.</i> ▪ IV: <i>Sustain. Peace</i> 	<p>Peace Security</p> <p>• I: <i>Security dilemma</i></p>  <p>• V</p> <p>• I</p> <p>• III: <i>Sustainable development</i></p> <p>Developm. Environm.</p>	<ul style="list-style-type: none"> • Policy use of concepts & Theoretical debates on six dyadic linkages • L1: Peace & security • L 2: Peace & development • L 3: Peace & environment • L 4: Developm. & security • L 5: Devel. & environment • L 6: Security & environm. <p>[six chapters reviewing & assessing the debates]</p>

2.5. Why do we Observe & Analyse a Reconceptualisation of Security?

Political context: Cold War and since 1990 Which change is crucial and long-lasting?

- ✦ **9 November 1989:** unification of Germany & **Europe:** triggered integration
- ✦ **11 September 2001:** vulnerability of US to terrorism **USA:** triggered revival of **Cold War mindset**, military build-up, and constraints on civil liberty: impact of laws on homeland security
- ✦ **Latin America:** Third wave of democratisation, economic crisis?

Did the contextual change of 1989 or the impact of 11 September trigger a global “reconceptualisation” of security?

Political science context: realism → constructivism

- ✦ **Kuhn:** Scientific revolutions lead to paradigm shifts
- ✦ **Ideas matter:** emergence of constructivist approaches, security is socially constructed (speech acts), constructivism shift, but no scientific revolution.
- ✦ **Threats matter:** evolution of the new worldview of the neo-conservative ideologues in the US & impact on IR.

2.6. Political contextual change

Cold War and since 1990

	Cold War (1947-89)	Post Cold War (1990-)
Concept	Narrow	Wide (EU, OECD world)
		Narrow: non-OECD world Since 11.9. 2001 in USA
Dimensions	military, political	+ economic, societal,
Referent	nation state, alliance	+ global env. change
Threat (from)	Soviet(West), imperialist (East)	individual to global
Challenge	manifold: 5 dimensions	USA: WMD, terrorism
Vulnerability	weapons systems, cities, ICBMs, infrastructure	EU: wider spectrum, climate change
Risk	military, ideological	5 dimensions of sec.

2.7. Widening, Deepening and Sectorialisation of Security

Since 1990 3 changes of Security Concept in Science & Policy Practice

- ◆ **Widening:** Extended security concepts, e.g. in the German Defence White Paper (1994), from military & political dimension to econ., societal, environmental
- ◆ **Deepening:** Shift in the referent from the state (national security) to the individual (human security)
- ◆ **Sectorialisation:** many international organisations use security: energy security (IEA), health security (WHO), food security (FAO, WFP), water security (UNEP, UNU), livelihood security (OECD) etc.

2.8. Widening of Security Concepts: Towards Environmental Security

4 trends in reconceptualisation of security since 1990:

- **Widening** (dimensions, sectors), **Deepening** (levels, actors)
- **Sectorialisation** (energy, food, health), **Shrinking** (WMD, terrorists)

Dimensions & Levels of a Wide Security Concept

Security dimension⇒ ⇓ Level of interaction	Mili- tary	Politi- cal	Economic	Environ- mental ⇓	Societal
Human individual ⇒			Food/health & water sec.	Cause & Victim	Food/health & water sec.
Societal/Community				⇓⇑	
National	In Cold War, US since 2001: Shrinking		Energy security	⇓⇑	
Internat./Regional				⇓⇑	
Global/Planetary ⇒				GEC	

2.9. Environmental & Human Security

Expanded Concepts of Security (Møller, Oswald)

Label	Reference object	Value at risk	Source(s) of threat
National security	The State	Territ. integrity	State,substate actors
Societal security	Societal groups	Nation. identity	Nations, migrants
Human security	Individ., mankind	Survival	Nature, state, global.
Environmental sec.	Ecosystem	Sustainability	Humankind
Gender security	Gender relations, indigenous people, minorities	Equality, identity, solidarity	Patriarchy, totalitarian institutions (governm., churches,elites) intoler.

Human security: Referent: **individuals and humankind**. [Human Security Network]

- ❖ **Values at risk:** survival of human beings and their quality of life.
- ❖ **Major source of threat:** nature (global environmental change), globalisation, nation state with its ability to cope with this dual challenge.

Environmental Security: Referent: **Ecosystem**; Value at risk is **sustainability**.

- ❖ **Major challenges:** global environmental change & humankind,
- ❖ **Focus:** Interactions between ecosystem & humankind, impact of global environmental change on environmental degradation, of increasing demand on environmental scarcity & environmental stress.

2.10. Compilation of Environmental ‘Threats’, ‘Challenges’, ‘Vulnerabilities’ and ‘Risks’

Environmental causes, stressors, effects and natural hazards pose	Natural and economic factors		Societal impact factors	
	Substantial threats for	Challenges affecting	Vulnerabilities (exposure) for	Risks for
	Security objects (for what or whom?)			
Climate change - temperature increase (creeping, long-term)	<ul style="list-style-type: none"> - Human health - agriculture (yield decline) - biodiversity - desertification 	<ul style="list-style-type: none"> - tourism - food security - fisheries - government action - economic action 	<ul style="list-style-type: none"> - infectious disease - damage to crops - natural systems - water scarcity 	<ul style="list-style-type: none"> - human populations - the poor, old people and children due to heat waves
Climate change - sea level rise (creeping, long-term)	<ul style="list-style-type: none"> - Small island states - marine ecosystem, - indigenous communities, - industry, energy 	<ul style="list-style-type: none"> - deltas - coastal zones - marine, freshwater ecosystems 	<ul style="list-style-type: none"> - forest fire - coastal cities, habitats, infrastructure, jobs - cities, homes, jobs 	<ul style="list-style-type: none"> - livelihood - poor people, - insurance, - financial services

3. Environmental and Human Security

✦ **Environmental Security: a dimension of a widened security concept:**

- Conceptual proposals: to make environmental security a new task of US nation. security
- 3 research phases of & proposal for a 4th ph.
- Policy debate & Implementation: ENVSEC

✦ **Human Security: Shift from nation state to human beings/humankind**

- Four Pillars: a) Freedom from fear, b) want, c) hazard impact, d) to live a life in dignity
- Policy Debate: UNDP (1994); Human Security Network; Human Security Commission

3.1. Changing Referents of Security: National or State Security vs. Human Security

- ✦ In World War II, “**national security**” emerged in U.S. “to explain America’s relation-ship to the rest of the world”.
- ✦ “National security” a guiding principle for U.S. policy.
- ✦ During Cold War: concepts of **internal, national, alliance & international security** were used for a bipolar international order where deterrence played a key role to prevent a nuclear war.
- ✦ “**National**” and “**alliance security**” focused on military and political threats posed by the rival system.
- ✦ National security legitimated the allocation of major resources and constraints on civil liberties.
- ✦ **Human security** is a new concept that has been used since by social scientists and international organis.(UNDP 1994):
 - Man scientific definitions
 - Four pillars:
 - Different policy goals

3.2. What is Human Security?

- ✦ **Human Security**: puts individual, his or her environment and livelihood at the centre as the main referent. The individual is regarded as most important and to protect his/her security, an analysis is employed that involves many interrelated variables such as economic, social, political, environmental, technological factors.
- ✦ HS recognizes that “lasting stability cannot be achieved if people are not protected from a wide variety of threats to their lives and livelihoods”. (FA of Canada)
- ✦ Human security concept emerged in 1990s: change of intern. pol. order
- ✦ Decline in traditional security threats – emergence of intra-state conflicts
- ✦ Recognition of unrelenting cost of human lives in violent conflict.
- ✦ New Security Agenda: intra-state violent conflict, economic security, energy, water, human rights, epidemic diseases, poverty, inequality, enviro. degradation etc.
- ✦ UN Security Council extended meaning of “international peace and security” to cover conflicts that are more domestic
- ✦ Move towards international humanitarian interventions through Peace-keeping: Somalia, Sierra Leone, East Timor, Haiti, Ivory Coast, etc.

3.3. Four Pillars of Human Security Concept

- ◆ **“Freedom from fear”** by reducing the probability that hazards may pose a survival dilemma for most affected people of extreme weather events (UNESCO, HSN), **Canadian approach: Human Security Report (2005)**
- ◆ **“Freedom from want”** by reducing societal vulnerability through poverty eradication programs (UNDP ‘94; CHS 2003: Ogata/Sen: Human Security Now), **Japanese approach;**
- ◆ **“Freedom to live in dignity”** (Kofi Annan in his report: *In Larger Freedom* (March 2005))
- ◆ **“Freedom from hazard impact”** by reducing vulnerability & enhancing coping capabilities of societies confronted with natural & human-induced hazards (**UNU-EHS** 2004; Bogardi/Brauch 2005; Brauch 2005a, 2005b).

3.4. First Pillar of HS: Freedom From Fear

- ✦ **Narrow:** pragmatic, conceptually precise, **Goal:** “to provide security that individuals can pursue their lives in peace” (Krause)
- ✦ “lasting security cannot be achieved until people are protected from violent threats to their rights, safety or lives” (**FA Canada**)
- ✦ **Threats:** inter-state wars, intra-state conflicts, criminality, domestic violence, terrorism, small arms, inhumane weapons, land-mines, “to provide security so individuals can pursue their lives in peace” (Krause 2004).
- ✦ **Requirements and objects:**
 - **Rule of Law:** ICC, International Court of Justice and national, regional and local judicial courts and mechanisms
 - **Universal Humanitarian Standards:** initiatives in inter. humanitarian and human rights law, human development, human rights education,
 - **Good Governance:** capacity building of not only national, but regional and local governments or leadership authorities; fostering democracy; respect for minorities
 - **Conflict Prevention/ Post-Conflict Reconstruction:** land mines, child soldiers, protection of civilian population in armed conflict, small arms and light weapons, trans-national organized crime (Ottawa Convention on Anti-personnel Landmines)
 - **Strong intern. institutions** that can support & enforce above

3.5. Human Security Network Members

NATO	EU	Third World
Canada		Chile
Greece	Austria	Jordan
Netherlands	Ireland	Mali
Slovenia		Thailand
Norway	Switzerland	South Africa (observer)

The Network has an interregional & multiple agenda perspective, strong links to civil society & academia.

The Network emerged from landmines campaign at a Ministerial, Norway, 1999.

Conferences at Foreign Ministers level in Bergen, Norway (1999), in Lucerne, Switzerland (2000), Petra, Jordan (2001) Santiago de Chile (2002), Graz (2003), Bamako, Mali (May 2004), Canada (2005), Thailand (2006)

Anti-pers. Landmines, Intern. Criminal Court, protection of children in armed conflict, control of small arms & light weapons, fight against transnational organized crime, human development, human rights education, HIV/AIDS, implementation of international humanitarian & human rights law, conflict prevention

So far no environmental security issues on the agenda of this HS-Network.

3.6. Second Pillar of HS: Freedom From Want

- ◆ **Broad:** wider agenda, conceptually more convoluted
- ◆ **Goal:** reducing individual/societal vulnerabilities in the economic, health, environment, political, community, and food sphere. To create conditions that can lead to empowerment for individuals,
- ◆ **Japanese FM:** HS “comprehensively covers all menaces that threaten human survival, daily life, and dignity...and strengthens efforts to confront these threats”
- ◆ **Threats:** diseases, poverty, financial crises, hunger, unemployment, crime, social conflict, political repression, land degradation, deforestation, emission of GHGs, environmental hazards, population growth, migration, terrorism, drug production and drug trafficking
- ◆ **Ogata/Sen (CHS 2003): 2 Approaches:** Protection & Empowerment
 - Protection:**
 - protection in violent conflict
 - proliferation of arms
 - protection and funds for post-conflict situations
 - strengthening the rule of law
 - developing norms and institutions to address insecurities
 - Empowerment:**
 - achieve UN Millennium Development Goals, poverty eradication encouraging fair trade and markets
 - sustainable development
 - universal access to basic health care
 - universal education
- ◆ Protection and Empowerment are Mutually Reinforcing!



3.7. Human Security Commission Report: Ogata/Sen: Human Security Now (2003)

- ✦ **Commission on Human Security (CHS)** established in January 2001 at initiative of Japan. The Commission consisted of twelve persons, chaired by Sadako Ogata (former UNHCR) Amartya Sen (1998 Nobel Economics).
- ✦ **CHS goals:** a) promote public understanding, engagement and support of human security; b) develop the concept of human security as an operational tool for policy formulation and implementation; c) propose a concrete program of action to address critical and pervasive threats to HS.
- ✦ **Human Security Now** (2003) proposes a **people-centered** security framework that focuses “**on shielding people** from critical and pervasive threats and **empowering them to take charge of their lives**. It demands creating genuine opportunities for **people to live in safety and dignity and earn their livelihood**. Its final report highlighted that:
- ✦ More than **800,000 people a year lose their lives to violence**. Ca. **2.8 billion** suffer from poverty, ill health, illiteracy & other maladies



3.8. Third Pillar of HS: “Freedom to live in dignity” (Annan 2005)

- ✦ **In Larger freedom: development, security and human rights:** In Millennium Report, Annan drew on UN Charter preamble “We the peoples” (A/54/2000).
- ✦ Framers of Charter understood that this could not be narrowly based. They created UN to ensure respect for fundamental human rights, establish conditions for justice & rule of law, “promote social progress, better standards of life in larger freedom”.
- ✦ **Development, security & human rights** reinforce each other. **Poverty & denial of human rights** may not “cause” civil war, **terrorism or organized crime**, all greatly increase the risk of instability and violence.
- ✦ No **development without security, no security without development**, we will not enjoy either **without respect for human rights**.
- ✦ In **Millennium Declaration**, Member States stated to promote democracy, rule of law, respect for human rights and fundamental freedoms. They recognized that freedom from want and fear are essential but not enough.
- ✦ **All human beings have the right to be treated with dignity and respect.**
- ✦ Promotion of universal values of rule of law, human rights & democracy are ends in themselves, essential for a world of justice, opportunity & stability. No security agenda & drive for development will be successful unless based on human dignity.

3.9. 4th Pillar of HS: Freedom From Hazard Impacts

- ✦ **UNU-EHS:** Bogardi/Brauch (2005), Brauch (2005)
- ✦ **Goal:** reduce vulnerabilities & enhance the capacity building & coping capabilities of societies faced with natural & human hazards
- ✦ **Threats/Hazards:**
 - Environmental: floods, droughts, and other natural disasters, environmental degradation, lack of water or clean water, human-induced climate change, exhaustion of fish resources, depletion of finite resources (e.g. oil, gas)
 - Societal: poverty, improper housing, insufficient food and water, malfunctioning of technical systems, traffic accidents, population explosions, terrorism and organized crime
- ✦ **Develop vulnerability indicators and vulnerability mapping** to apply to operational realm by working on solutions
 - improved early warning systems_capacity-building for early warning
 - disaster preparedness (education and training, infrastructure)
 - coordinated rapid disaster response by local, regional and national level
 - developing clear guidelines for post hazard reconstruction
 - long term strategies: e.g. Kyoto, Montreal Protocol
 - adaptation measures: e.g. dams, switching to renewable energy
 - mitigation measures: restrict housing in hazard areas (coastal areas-flooding, mud slides), charging more for garbage disposal and energy usage, birth control measures
- ✦ **Begin or continue to find sustainable ways of development**

3.10. Broaden Research Stakeholders: Integrate Human & Environmental Security Concerns into a Peace Research Agenda

Environmental Security

- First phase: (Ullman, Matthew & Myers): make environmental security primarily as a **national security** concern.
- Fourth Phase: make environmental security challenges also a **human security** concern.

Human Security

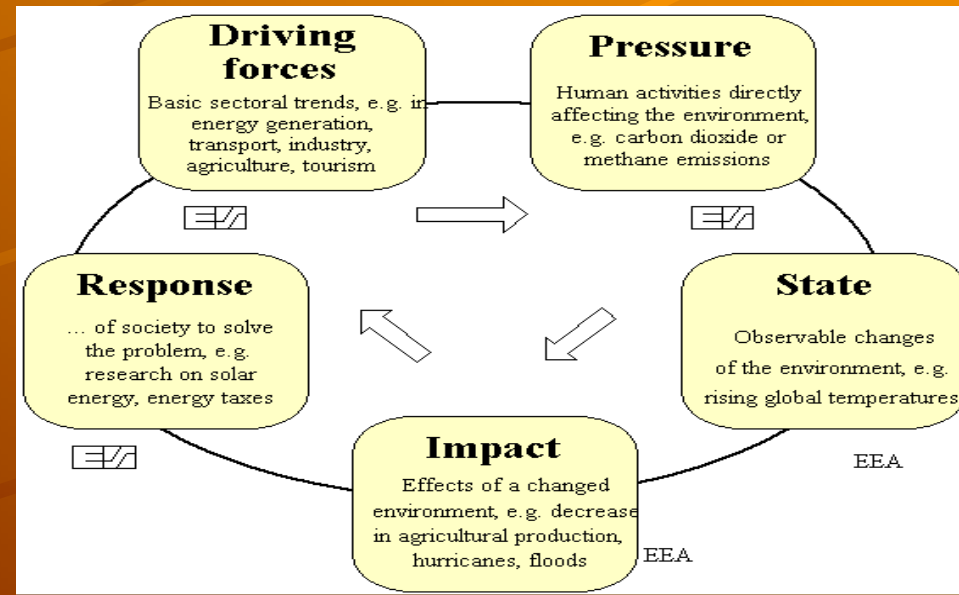
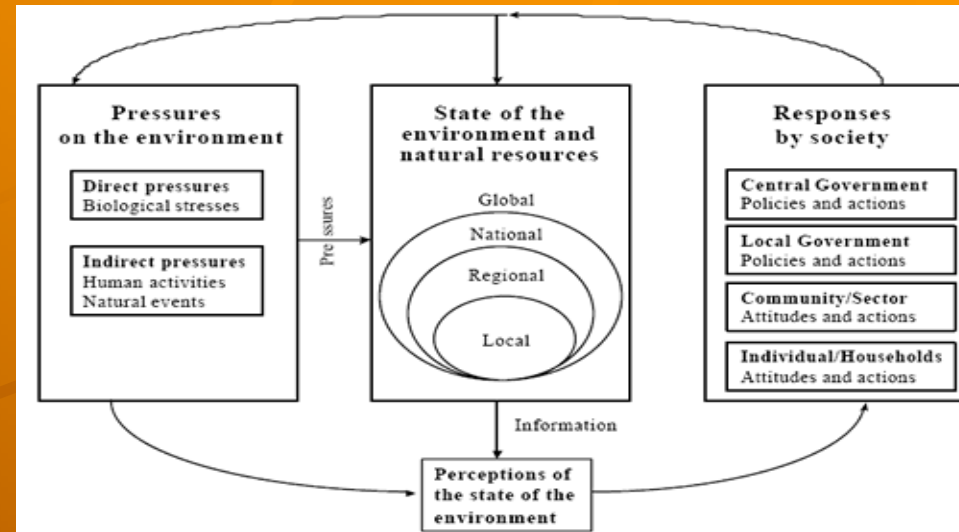
- Environmental security challenges so far hardly a human security concern (missing on agenda of **Human Security Network**, but also in HSC: **Human Security Now**).

Peace Research

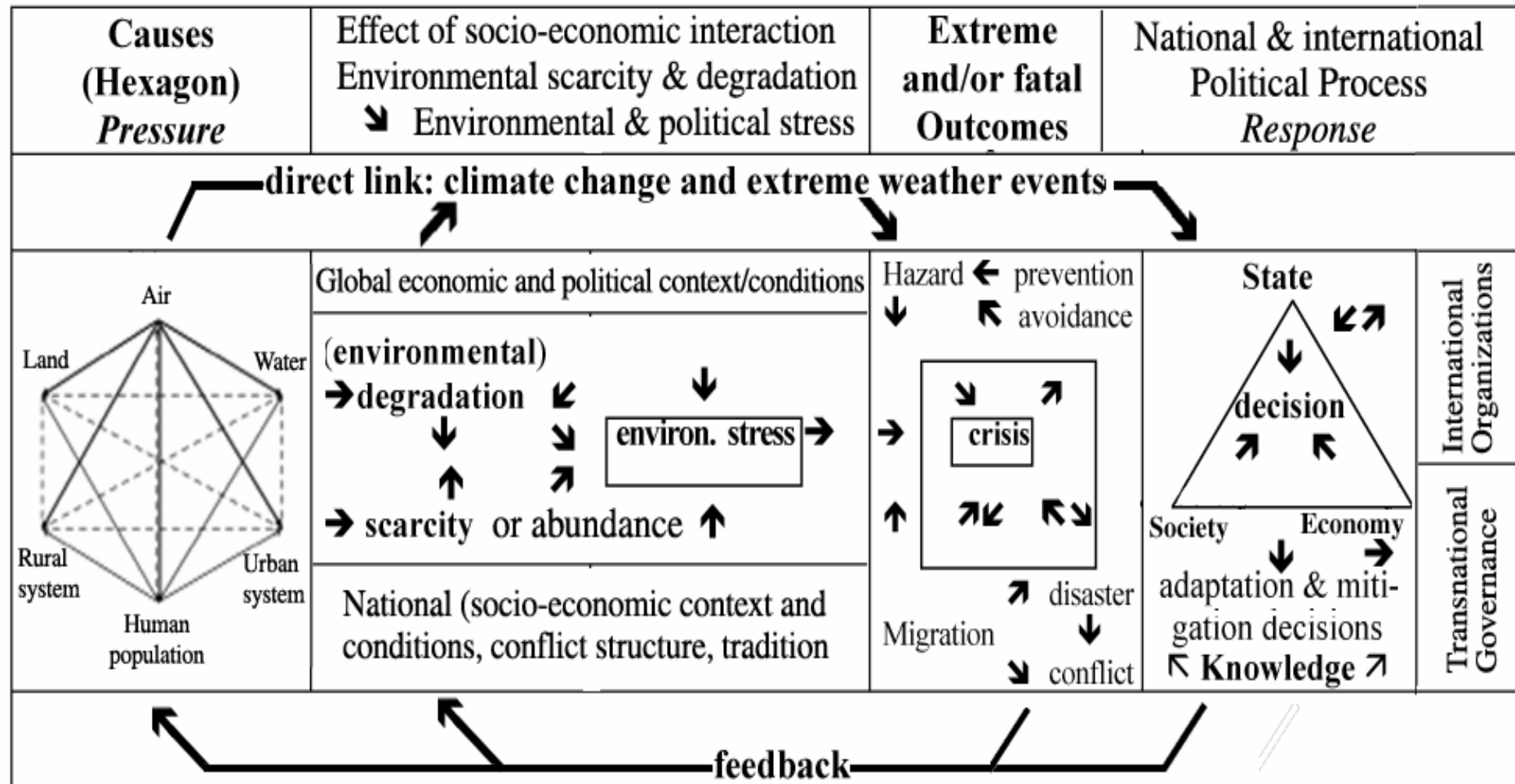
- Authors from peace research have contributed to both debates and could rather build conceptual bridges than authors with an Hobessian outlook in Security Studies

4. Models on Linkage of Cause & Impact: GEC and Policy Responses

- „Pressure-State-Response“ (PSR) of OECD (1993, 1997, 1999): P: pressure; S. state of env., R: policy response;
- UN-CSD. Driving Force-State-Response (DSR) D: Determinants of human activities; S: State of sustainable development; R: Responses;
- EU (EEA): DPSIR-Model



4.1. PEISOR Model: Global Environmental Change and Extreme/Fatal Outcomes

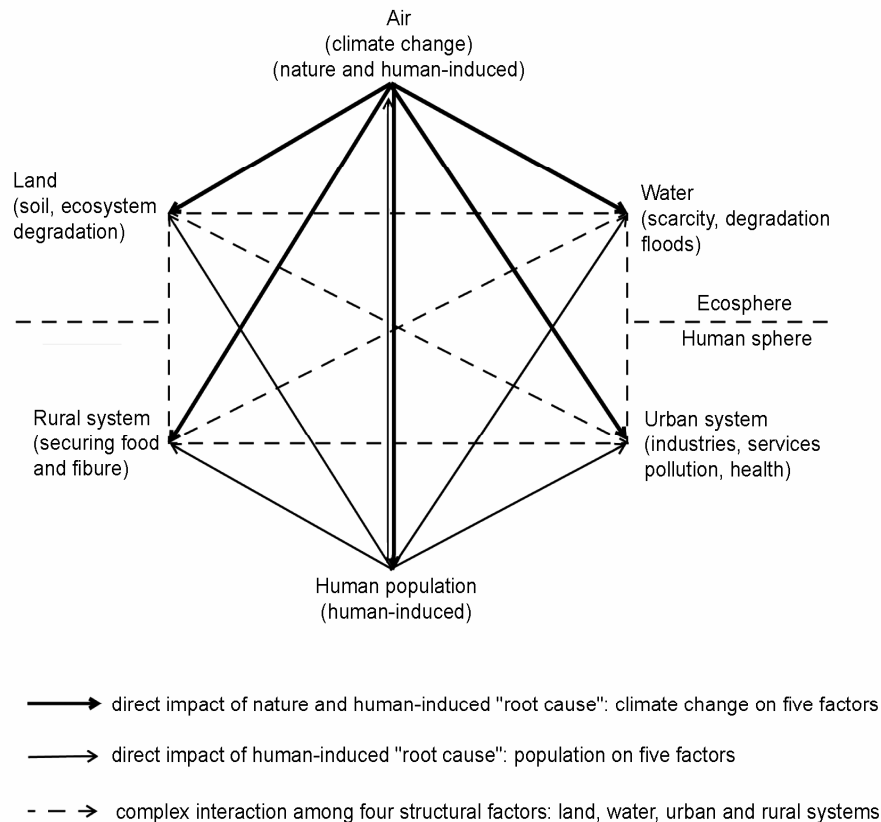


4.2. Securitisation of Causes, Impacts and Socio-economic Impacts of GEC:

❖ The model distinguished 5 stages:

- **P: Causes** of GEC („pressure“): Survival hexagon
 - ❖ **Effects:** environmental scarcity, degradation and stress, influenced by national and global context
- **E: Effect:** environm. scarcity, degradation & stress
- **I: Extreme or fatal outcome („impact“): hazards**
- **S: Societal Outcomes:** disaster, migration, crisis, conflict, state failure etc.
- **R: Response** by the state, society, the economic sector and by using traditional and modern knowledge to enhance coping capacity & resilience

5. Pressure: Six Causal Determinants: Survival Hexagon



Ecosphere:

- ✦ **Air: Climate Change**
- ✦ **Soil: Degradation, Desertification**
- ✦ **Water: degradat./scarcity**

Anthroposphere:

- ✦ **Population growth/decline**
- ✦ **Rural system: agriculture**
- ✦ **Urban system: pollution etc.**

Mode of Interaction

- **Linear**
- **Exponential**
- **Chaotic, abrupt**

6. Effects: Environmental Scarcity, Degradation & Stress

Four Phases of Env. Sec, Research since 1983 - 2003

First Phase: Conceptual Phase: Concept Environmental Security

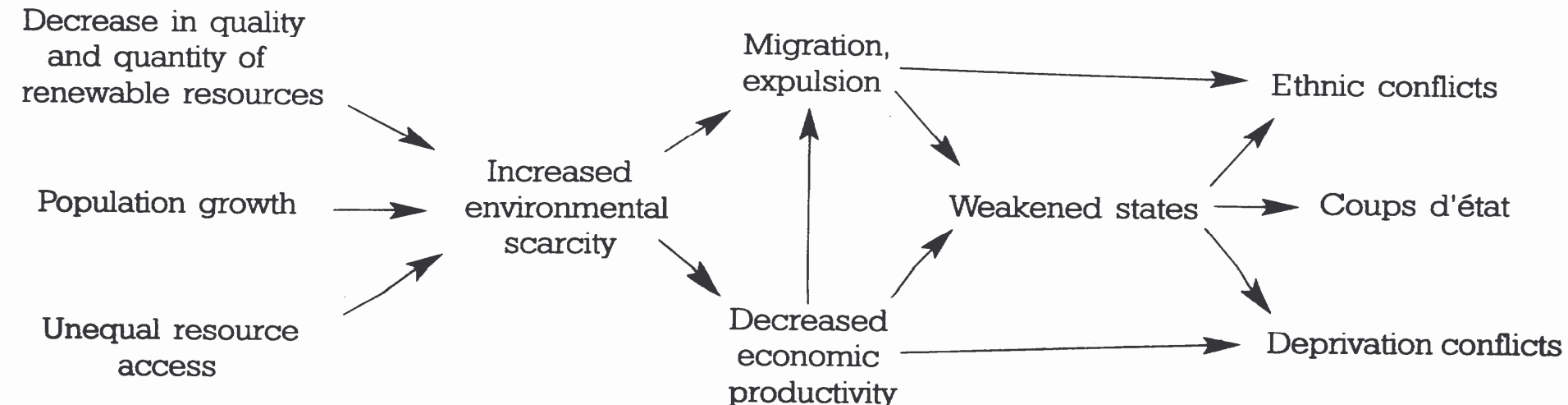
Second Phase: Empirical Phase: Case studies: Scarcity - Conflict

- **Toronto: Homer-Dixon: since 1991: 3 Projects (figure © Homer-Dixon 1998)**
- **Zürich/Bern: Günther Bächler, K.Spillmann**

Third Phase: Manifold Research without Integration (1995 - pres.)

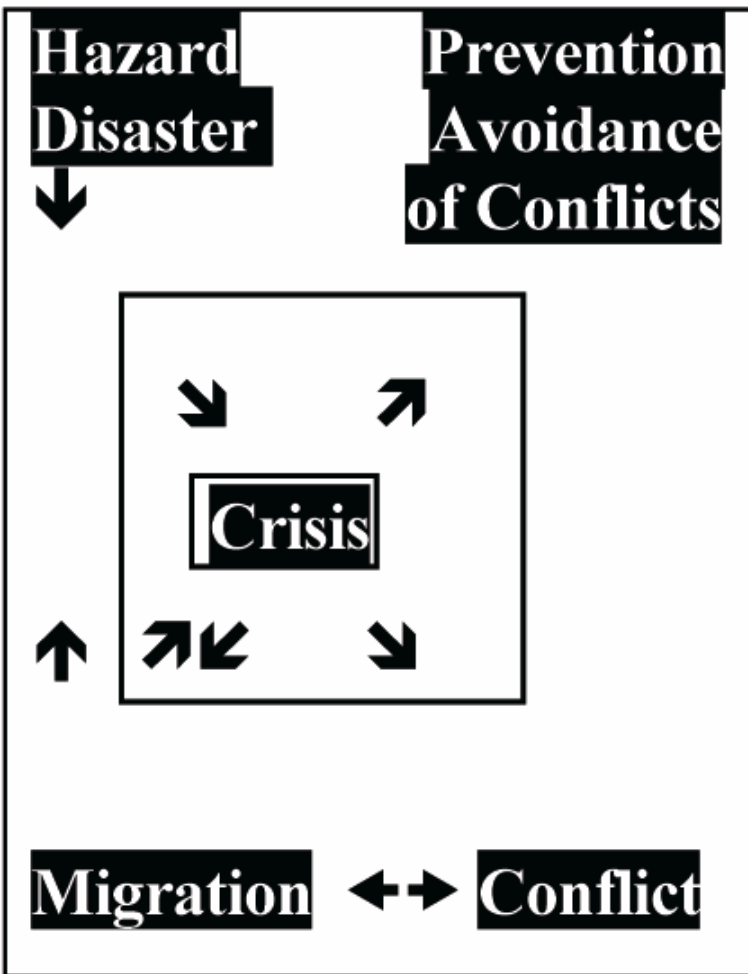
Sources of environmental scarcity

Social Effects



7. Impact: Human-Induced Natural Hazards

Drought, Famine and Societal Consequences



Much knowledge on these factors:

✓ Drought, migration, crises, conflicts

Lack of knowledge on linkages among
fatal outcomes

➤ Drought & drought-ind. migration

➤ Famine & environm.-ind. migration

➤ Conflicts & conflict-induced migration

Lack of knowledge on societal
consequences: crises/conflicts

➤ Domestic/international crises/conflicts

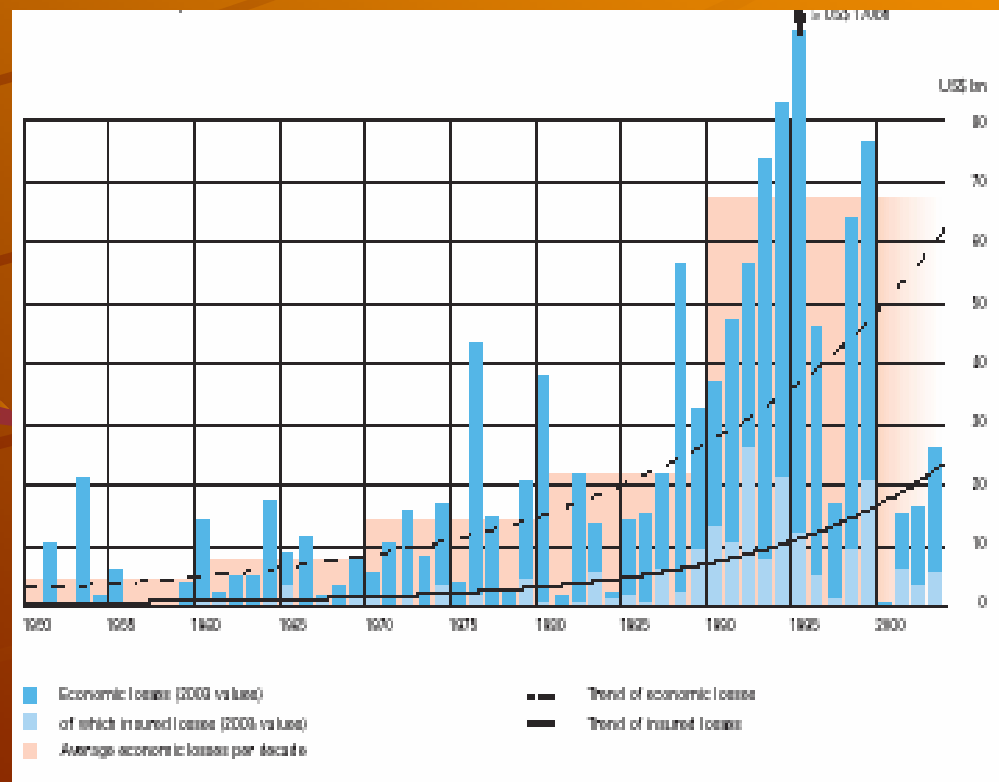
➤ Environmentally or war-induced
migration as a cause or consequence
of crises and conflicts

Distribution of natural disasters: by origin
(1900-2003, by decades*)

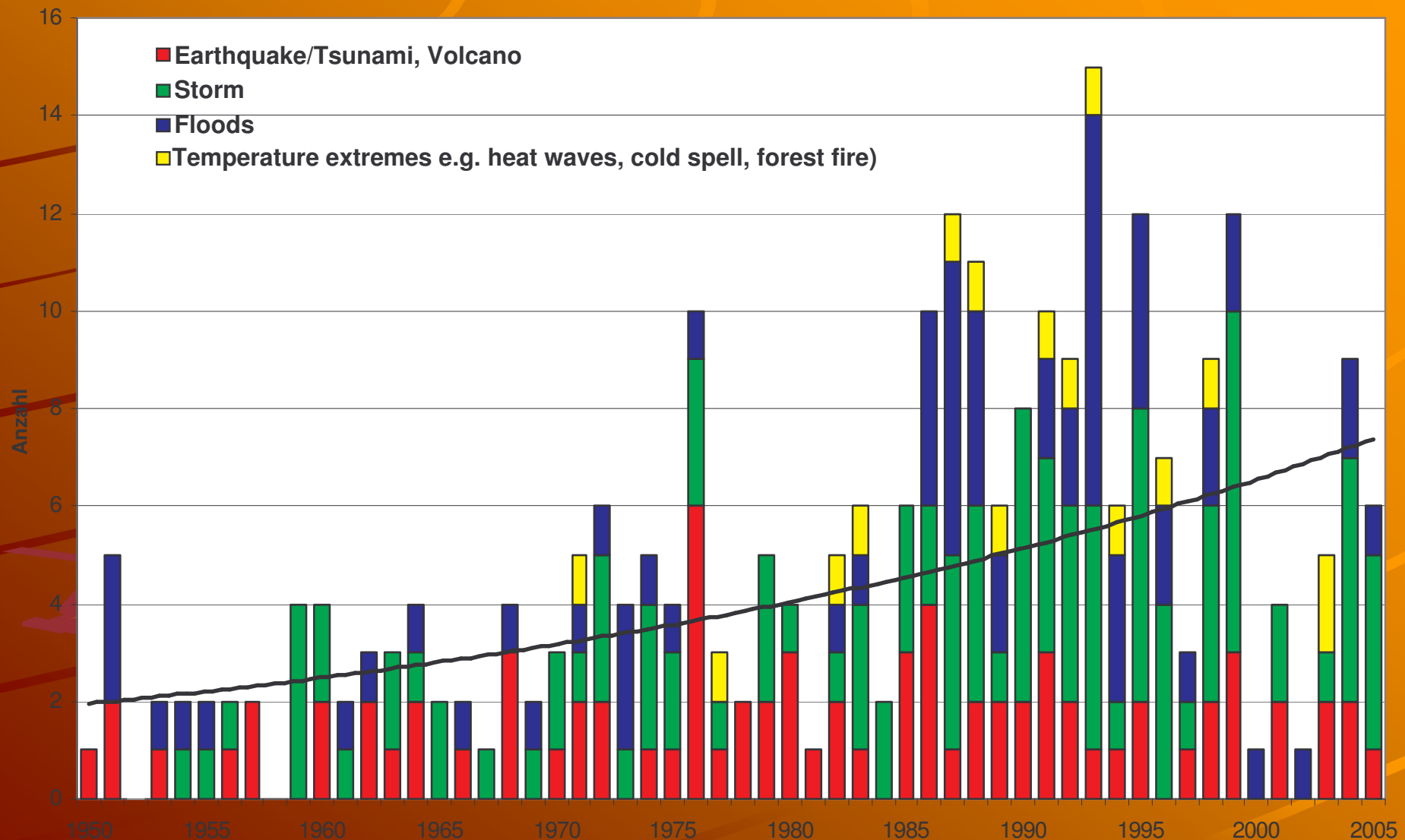
	1900-1909	1910-1919	1920-1929	1930-1939	1940-1949	1950-1959	1960-1969	1970-1979	1980-1989	1990-1999	2000-2003	Total
Hydrometeorological	28	75	56	74	128	280	511	795	1575	2139	1444	7105
Geological	36	26	32	38	53	58	94	128	234	283	152	1134
Biological	5	12	10	3	3	3	40	65	167	351	297	956
Total	69	113	98	115	184	341	645	988	1976	2773	1893	9195

650 990 2000 2800 4700

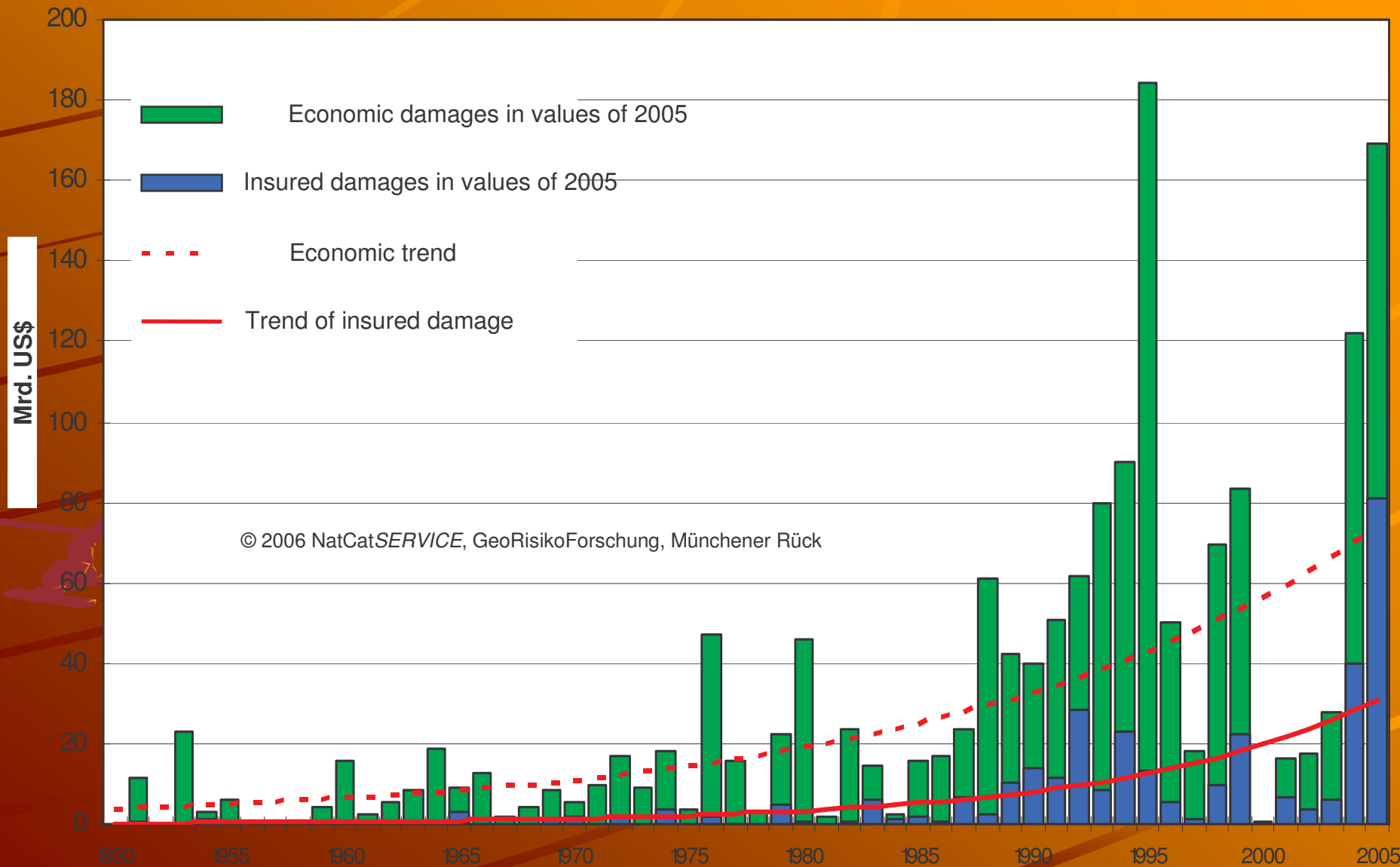
7.1. Global Impacts of Natural Hazards



7.2. Major Natural Hazards (1950-2005), Number of Events

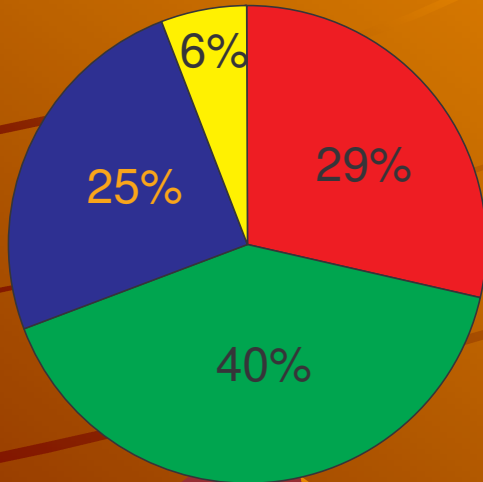


7.3. Major Natural Hazards (1950-2005), Economic and Insured Losses



7.4. Major Natural Hazards (1950-2005),

267 Events



Geologisch bedingte Ereignisse

Earthquake/Tsunami,
Volcano

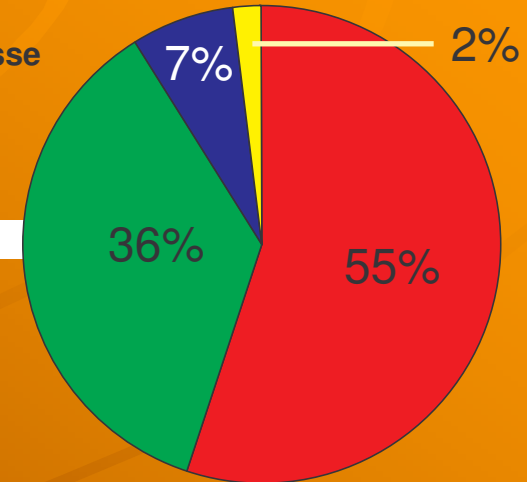
Wetterbedingte Ereignisse

Storm

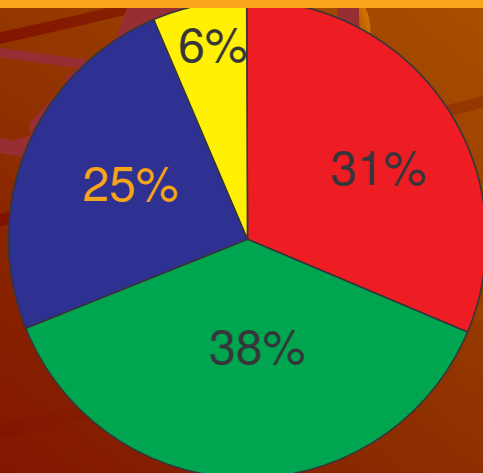
Flood

Extreme temperatures

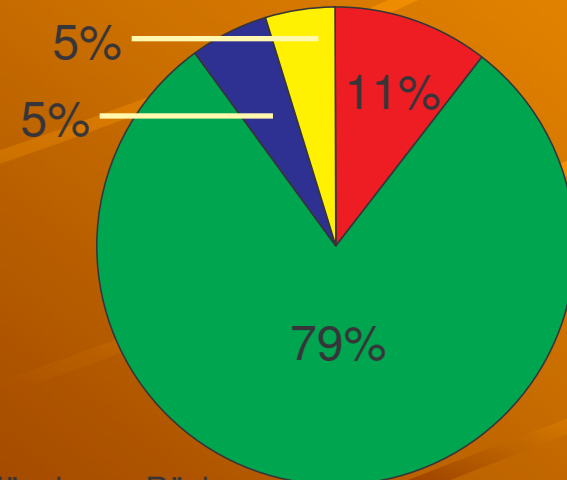
1,75 Million Dead



Economic damage: 1.400 billion US\$



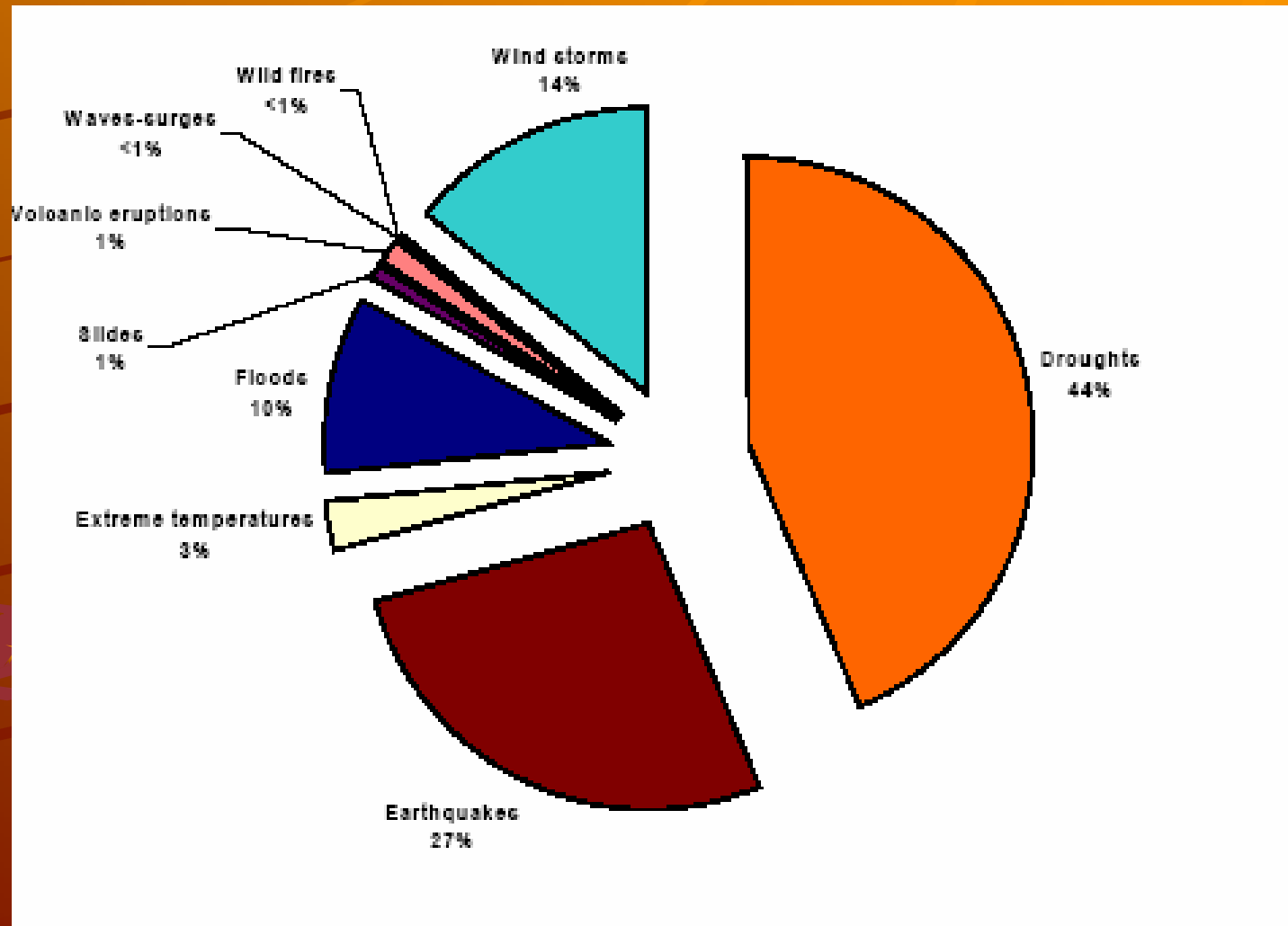
Insured damage: 340 billion US\$



*in Werten von 2005

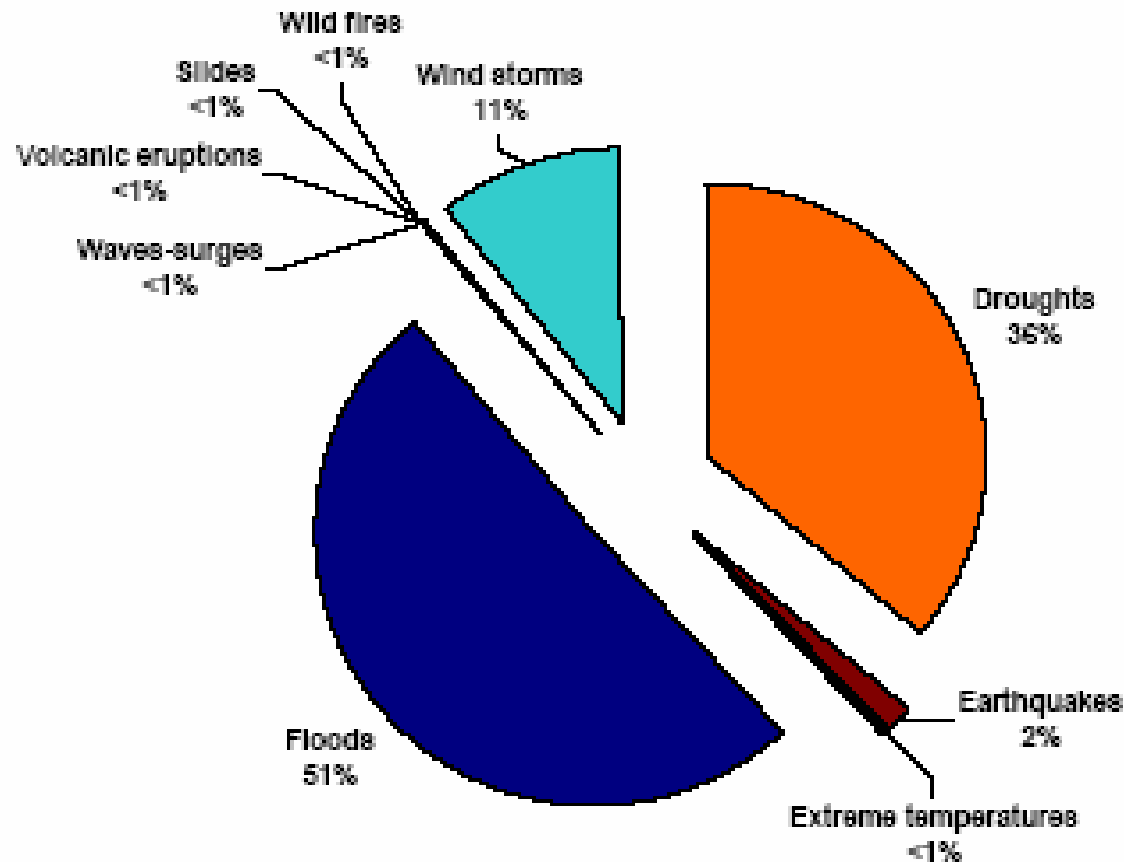
© 2006 GeoRisikoForschung, Münchener Rück

7.5. Reported Death of Natural Hazards globally (1974-2003): 2.066.273 persons



Source: Hoyois und Guha-Sapir (2004)

7.6. Affected persons of Natural Hazards globally (1974-2003): 5 076 494 541 persons



(1) Injured + homeless + affected

Source: Hoyois und Guha-Sapir (2004)



7.7. Natural Hazards in Mexico

(Source: CRED: number of people killed)

Disaster	Date	Killed
Earthquake	19-Sep-1985	9,500
Flood	1959	2,000
Volcano	1949	1,000
Wind Storm	27-Oct-1959	960
Flood	12-Sep-1999	636
Wind Storm	1-Oct-1976	600
Wind Storm	28-Sep-1955	500
Earthquake	28-Aug-1973	500
Wind Storm	12-Nov-1961	436
Extreme Temperature	30-Apr-1990	380



7.8. Natural Hazards in Mexico

(Source CRED: number of people affected)

Disaster	Date	Total Affected
Earthquake	19-Sep-1985	2,130,204
Wind Storm	1-Oct-2005	1,954,571
Wind Storm	19-Oct-2005	1,000,000
Wind Storm	8-Oct-1997	800,200
Flood	12-Sep-1999	616,060
Wind Storm	15-Jul-1976	300,000
Wind Storm	1-Oct-1976	276,400
Wind Storm	Aug-1967	271,000
Wind Storm	Dec-1983	257,500
Flood	16-Sep-1993	231,290



7.9. Natural Hazards in Mexico

(Source CRED: economic damage costs)

Disaster	Date	Damage US\$ (000's)
Earthquake	19-Sep-1985	4,104,000
Wind Storm	22-Jun-1993	1,670,000
Drought	May-1996	1,200,000
Flood	3-Sep-1998	602,700
Flood	12-Sep-1999	451,300
Wind Storm	8-Oct-1997	447,800
Wind Storm	24-Sep-2001	400,000
Wind Storm	17-Jan-1988	250,000
Wind Storm	10-Oct-1995	241,000
Earthquake	15-Jun-1999	226,800

7.10. Summarized Table of Natural Disasters in Mexico (1929-2005)							
	# of Event s	Killed	Injured	Homeless	Affected	Total Affected	DamageUS (000's)
Drought	8	0	0	0	65,000	65,000	1,729,500
ave. per event		0	0	0	8,125	8,125	216,188
Earthquake	27	10,677	33,287	112,275	2,411,015	2,556,577	4,691,000
ave. per event		395	1,233	4,158	89,297	94,688	173,741
Epidemic	2	68	0	0	11,525	11,525	0
ave. per event		34	0	0	5,763	5,763	0
Extreme Temperature	16	1,207	0	16,000	0	16,000	82,600
ave. per event		75	0	1,000	0	1,000	5,163
Flood	45	4,083	659	165,990	1,336,695	1,503,344	1,491,900
ave. per event		91	15	3,689	29,704	33,408	33,153
Slides	6	202	0	120	200	320	0
ave. per event		34	0	20	33	53	0
Volcano	10	1,120	500	15,000	146,408	161,908	117,000
ave. per event		112	50	1,500	14,641	16,191	11,700
Wild Fires	3	83	0	0	0	0	83,200
ave. per event		28	0	0	0	0	27,733
Wind Storm	61	4,972	1,803	616,250	4,927,386	5,545,439	3,943,345
ave. per event		82	30	10,103	80,777	90,909	64,645

8. Societal Outcomes: Knowledge on Linkages of Outcomes

◆ What are consequences of climate change, desertification and water scarcity for:

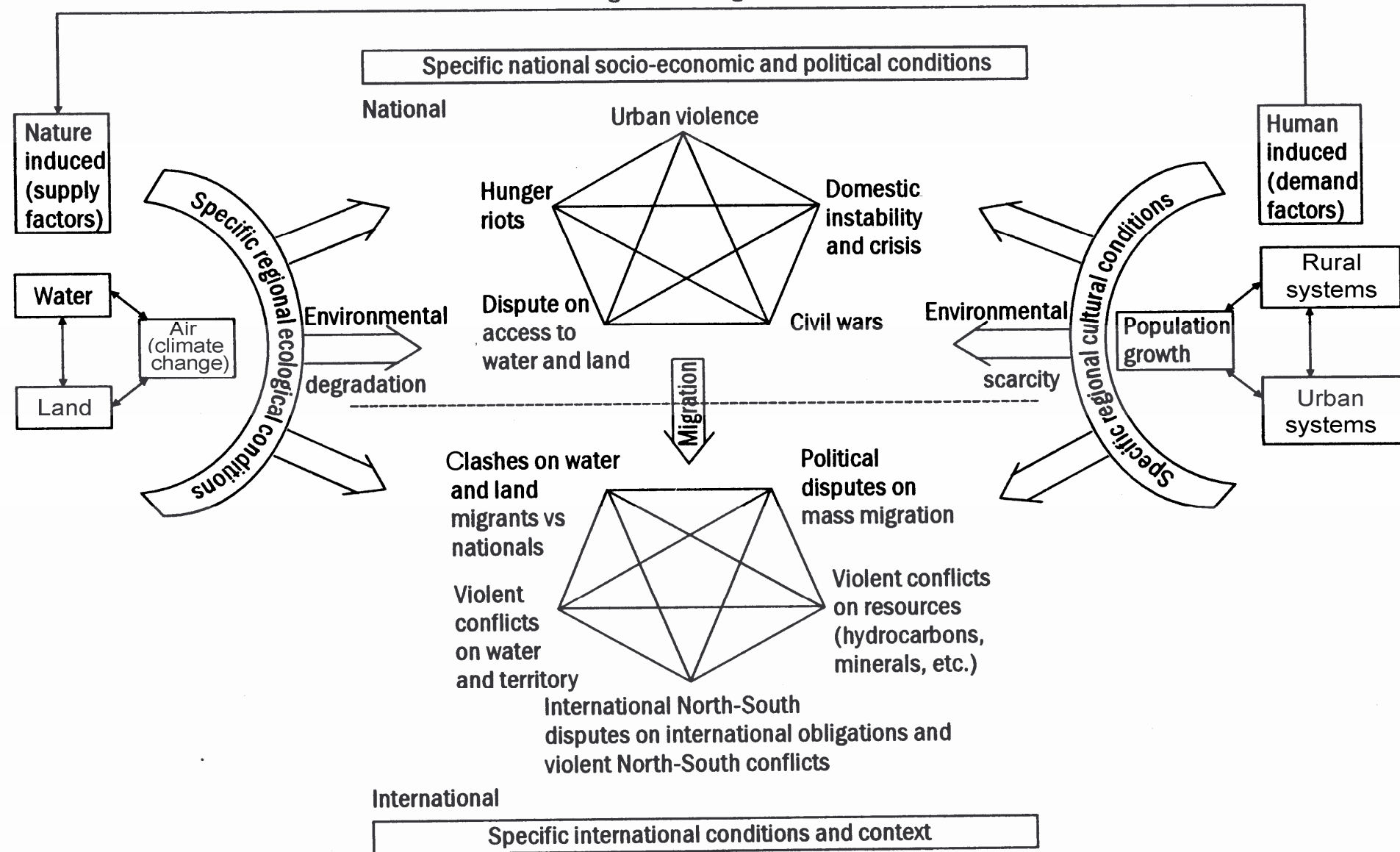
- Environmental scarcity
- Environmental degradation
- Environmental stress?

◆ What are indirect Societal Outcomes of:

- Human-induced hydro-meteorological natural hazards (Storms, floods, landslides, drought) due to natural variability & increase due to climate change?
- For migration, societal crises and domestic and international conflicts?

8.1. Pentagon of Extreme Outcomes

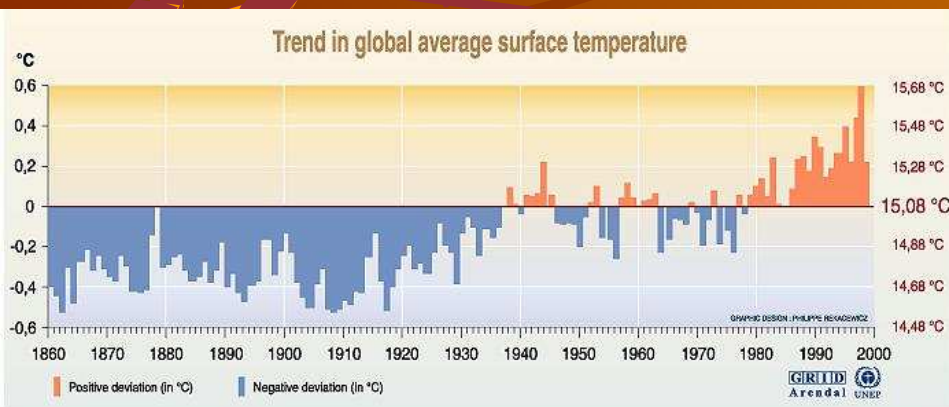
Increase in greenhouse gas emissions



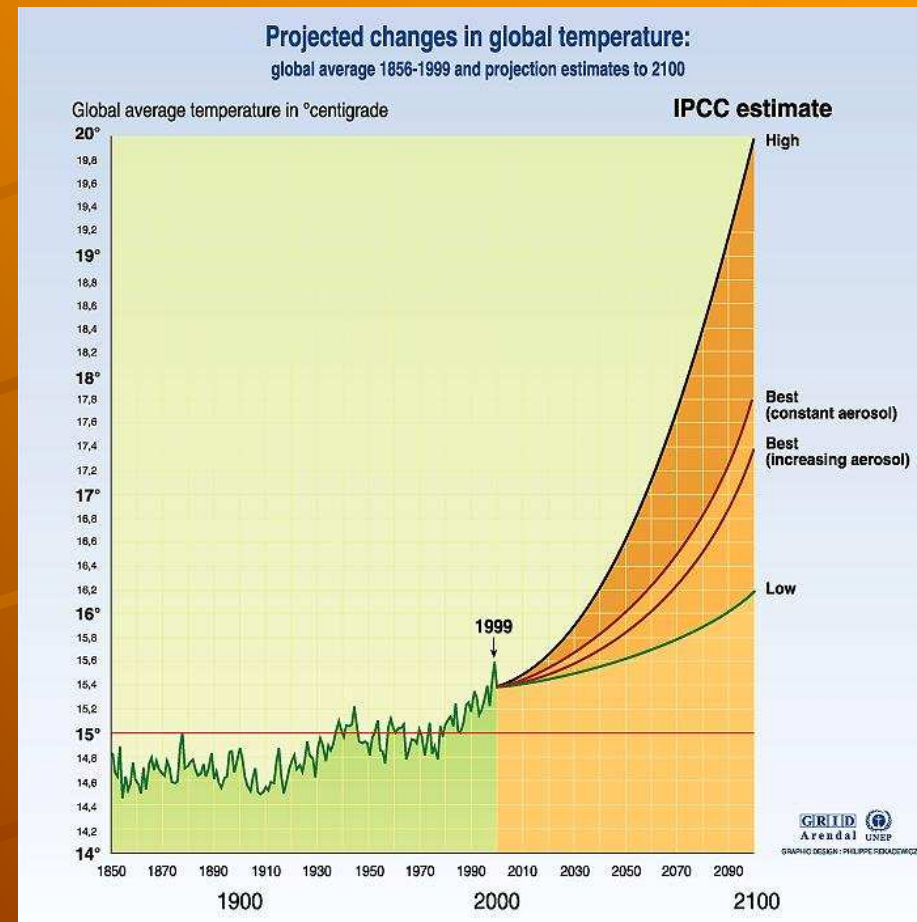
8.2. Global Climate Change: Temperature Increases & Sea Level Rise

2 Climate Change Impacts: Temperature & Sea level Rise

- ❖ Global average temperature rise in 20th century: **+ 0.6°C**
 - ❖ Proj. temperature rise: 1990-2100: **+1.4 – 5.8°C**
- Sources: IPCC 1990, 1995, 2001



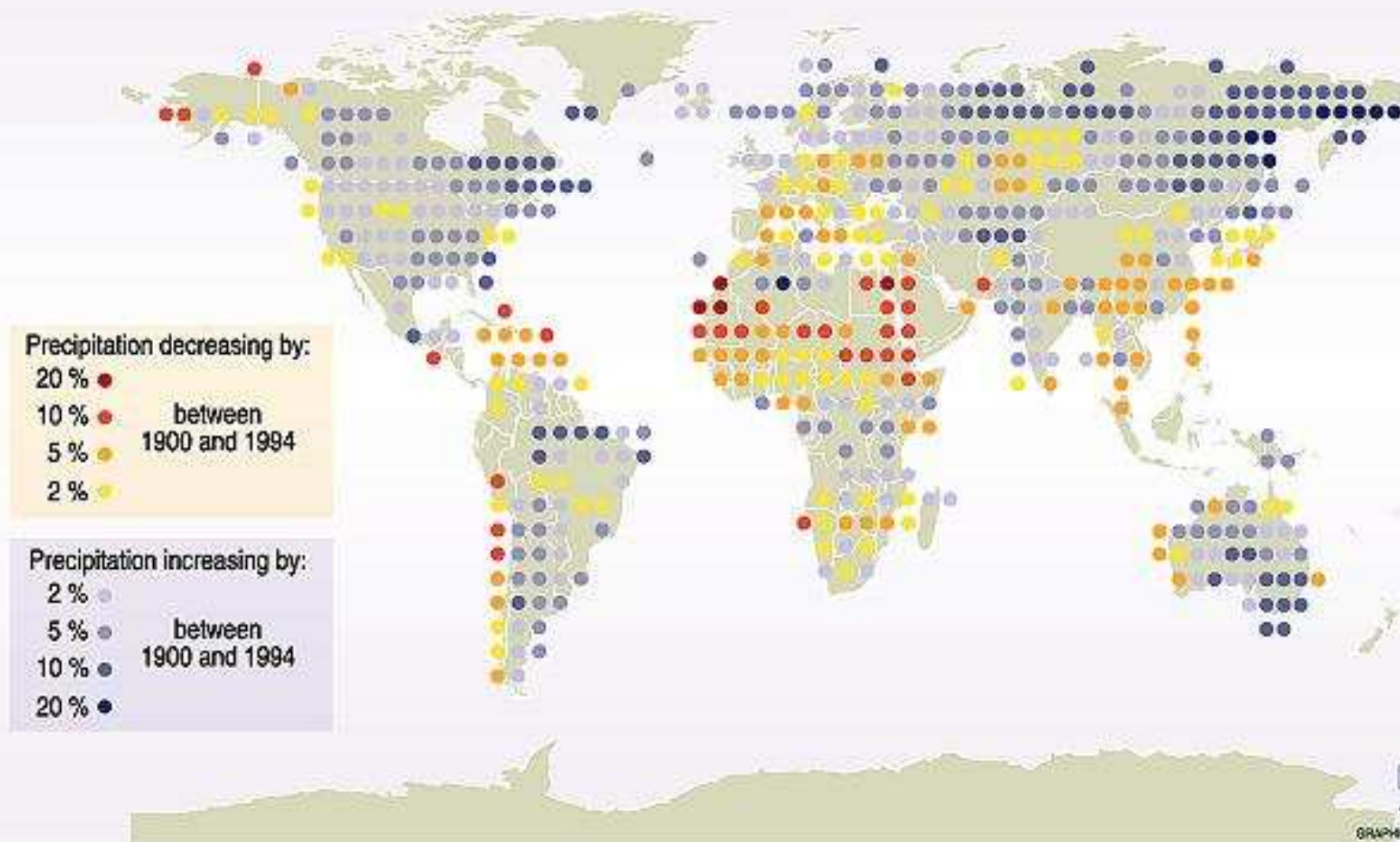
Source: School of environmental sciences, climatic research unit, university of East Anglia, Norwich, United Kingdom, 1999.



Source: Temperatures 1856 - 1999: Climatic Research Unit, University at East Anglia, Norwich UK. Projections: IPCC report 95.

8.3. Climate Change Impacts on Precipitation

Precipitation changes: trend over land from 1900 to 1994



8.4. Climate Change Impacts on Agriculture

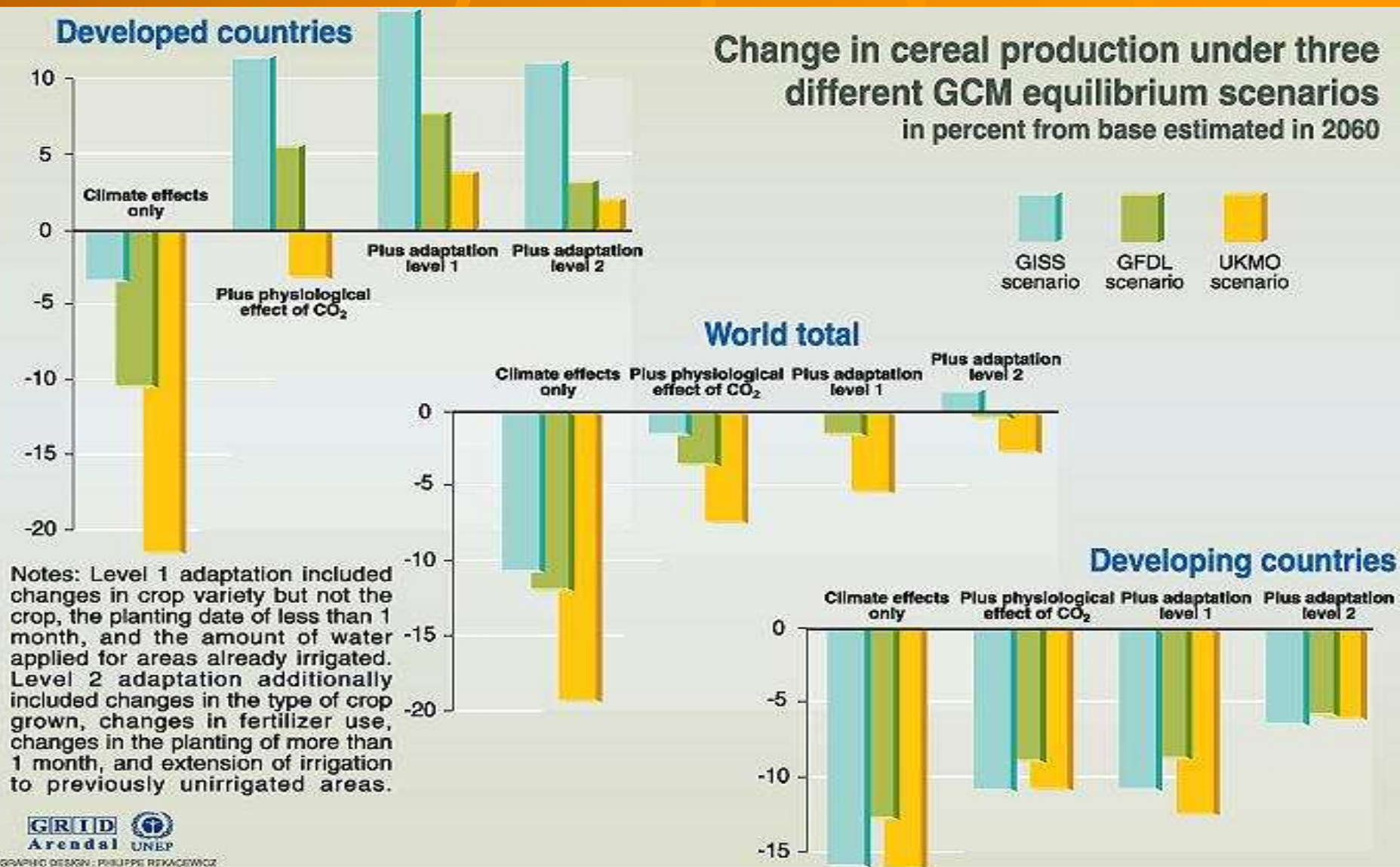




Figure 4. High Potential for Food Crisis 1901-1995.

← **High Potential
for Food Crisis
(1901-1995)**

**Alcamo/Endejan
2002: 143**

**8.5. Food Crises
High Potential for
Food Crisis (2001-
2050) with GDP and
Climate Change →**

Alcamo/Endejan 2002-143

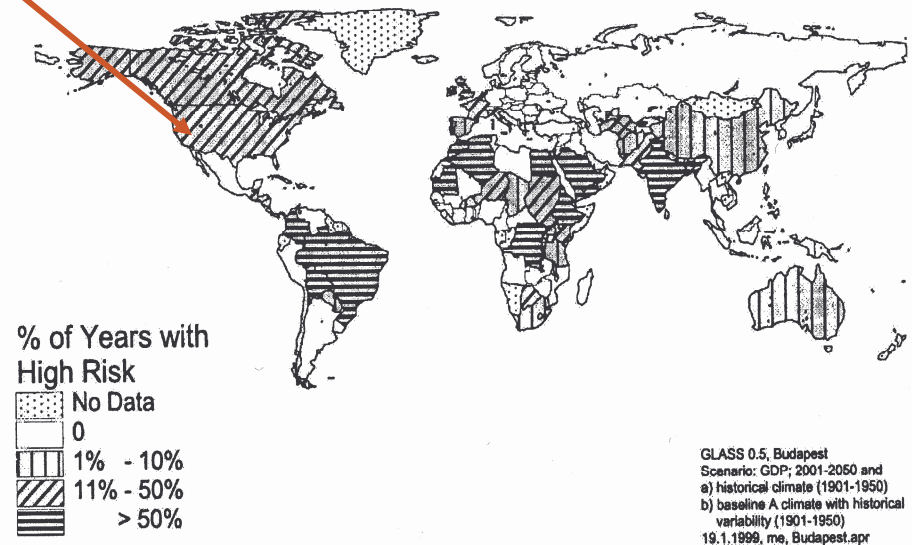
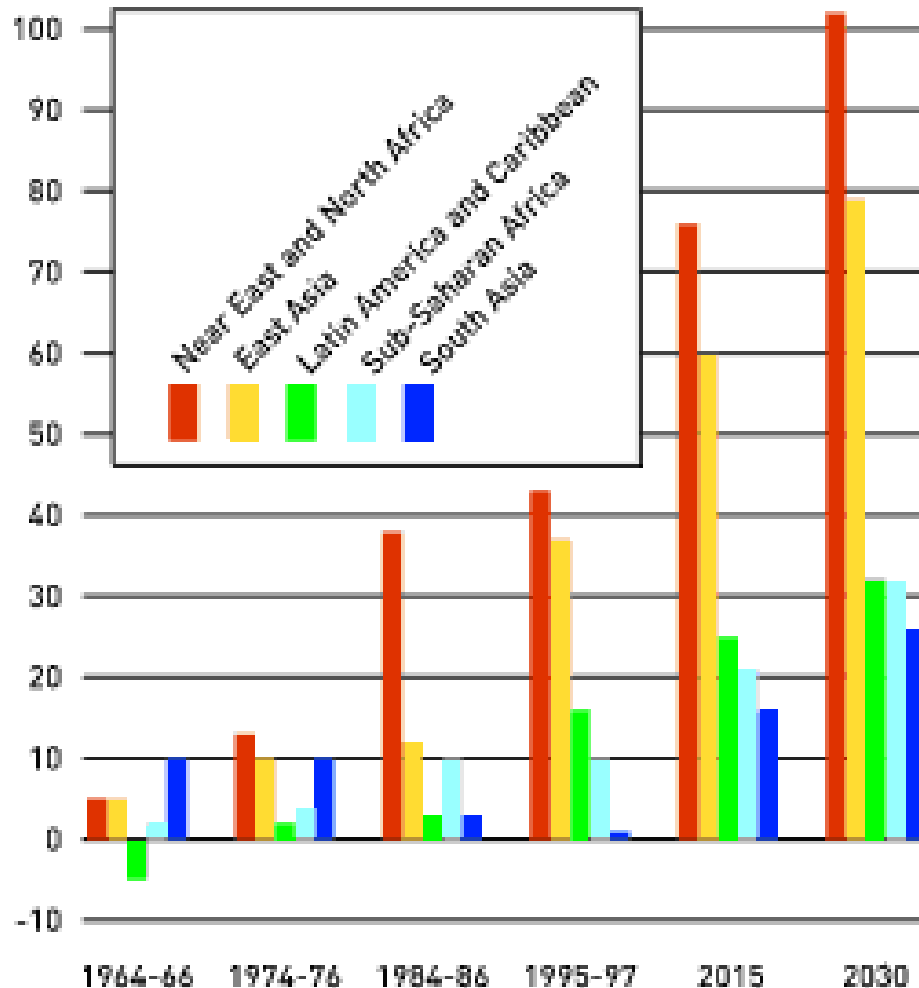


Figure 6. High Potential for Food Crisis 2001-2050
– with GDP Increase and Climate Change.

8.6. FAO (2000) Increase in Cereal Imports

Net cereal imports in developing countries

millions of tonnes



- ✦ **FAO: 4 March 2003, Rome**
World's population will be better fed by 2030, **but hundreds of millions of people in developing countries will remain chronically hungry.**
- ✦ Parts of South Asia may be in a difficult position and **much of sub-Saharan Africa** will not be significantly better off than at present in the absence of concerted action by all concerned.
- ✦ Number of hungry people will decline from 800 million today to 440 million in 2030.
- ✦ **The target of the World Food Summit (1996) to reduce the number of hungry by half by 2015, will not be met by 2030.**

8.7. Climate Change and Conflicts

Hobbesian: <http://halfgeek.net/weblog/special/gwreport/Pentagon.htm> I

Grotian: <http://www.bmu.de/files/climges.pdf>

- ✦ **Peter Schwartz/Doug Randall**
- ✦ Contract Study for DoD, Net Assessment, Oct. 2003
- ✦ *The purpose of this report is to imagine the unthinkable – to push the boundaries of current research on climate change so we may better understand the potential implications on United States national security.*
- ✦ Vantage point: Hobbesian
- ✦ Neo-Malthusian pessimist & Cornucopian optimist
- ✦ Pentagon, US national

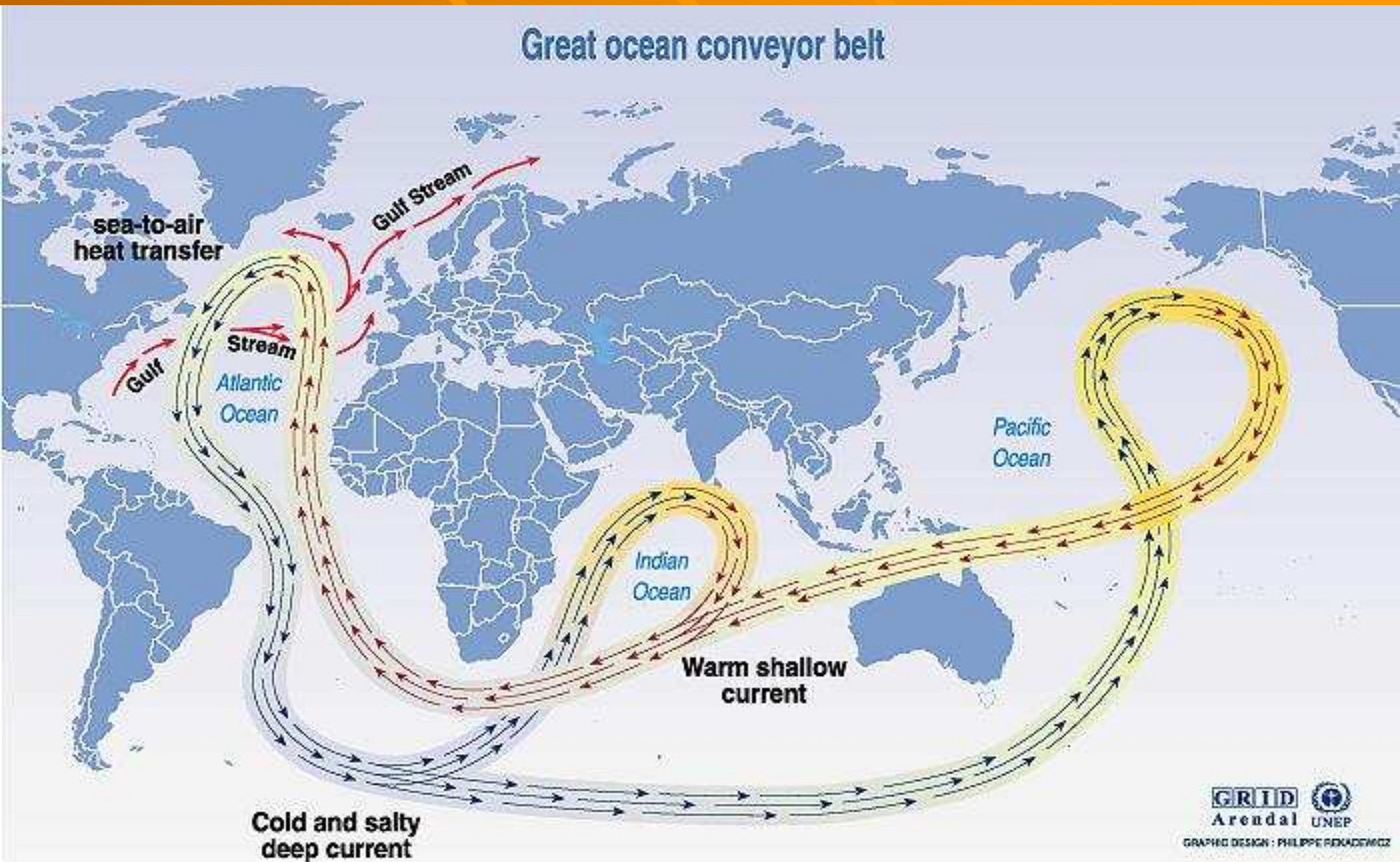
- ✦ **Hans Günter Brauch (AFES-PRESS)**
- ✦ Contract Study for German Environment Ministry, Nov. 2002
- ✦ *The purpose is to provide empirical evidence on climate change and conflicts and to contribute to the national and international debate on climate protection.*
- ✦ Contribute to crisis prevention & crisis management & provide additional supportive arguments for precautionary & ambitious climate protection policy.“

8.8. Global Warming vs. Cooling: Slow-Onset vs. Abrupt Climate Change

- ◆ **Science Context: 3 IPCC Assessment Reports (1990, 1995 & 2001) & Reports**
- ◆ **Arrhenius Hypothesis of 1896: burning of hydrocarbons contributes to global warming**
- ◆ **Basis of political agenda setting of Reagan Administ. 1988**
- ◆ **Increase in energy consumption contributes to: a) temperature increase. b) sea level rise**
- ◆ **Basis: of UNFCCC & IPCC**

- ◆ **Science Context: Rahmstorf (PIK) hypothesis: on sudden change in the Gulf stream,**
- ◆ **US Nat. Academy of Science: *Abrupt Climate Change: Inevitable Surprises* (2002)**
- ◆ **J. Marotzke, Kiel (1990, 2000)**
- ◆ **Mike Hume: Tyndall Centre**
- ◆ **Robert Gagosian, President of Woods Hole Oceanographic Institute (2004)**
- ◆ **Pittinger/Gagosian (10/2003)**

8.9. Change in Conveyer Belt & Gulf Stream



Source: Broecker, 1991, in Climate change 1995, impacts, adaptations and mitigation of climate change: scientific-technical analyses, contribution of working group 2 to the second assessment report of the intergovernmental panel on climate change, UNEP and WMO, Cambridge press university, 1996.

8.10. Peter Schwartz and Doug Randall Abrupt Climate Change Scenario

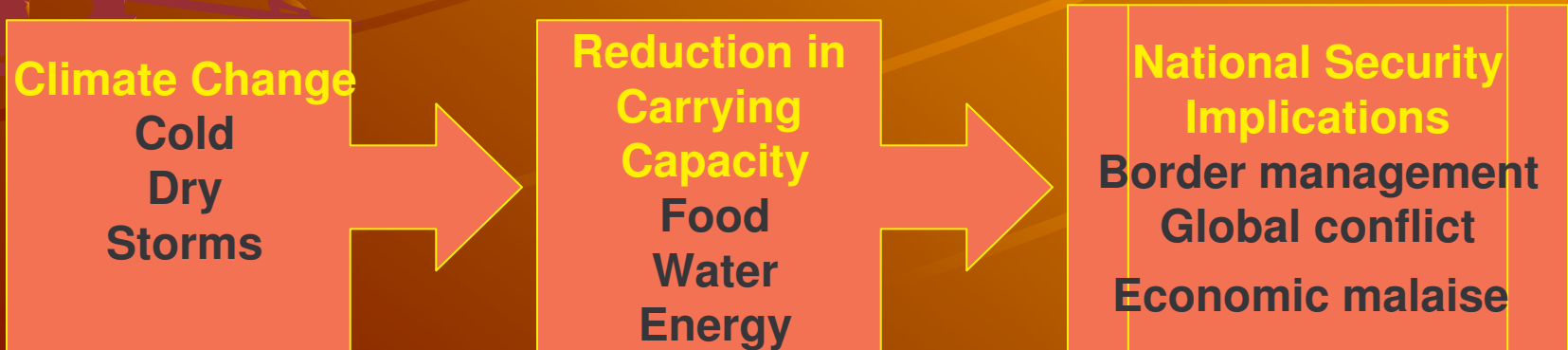
- ❖ As an alternative to gradual climatic warming they outline an **abrupt climate change scenario patterned after the 100-year event that occurred about 8,200 years ago.**
- ❖ This abrupt change scenario is characterized by these conditions:
 - Annual average temperatures drop by up to 5 degrees Fahr. over Asia and North America and 6 degrees Fahre. in northern Europe
 - Annual average temperatures increase by up to 4 degrees Fahrenheit in key areas throughout Australia, South America, and southern Africa.
 - Drought persists for most of the decade in critical agricultural regions and in the water resource regions for major population centers in Europe and eastern North America.
 - Winter storms and winds intensify, amplifying the impacts of the changes. Western Europe and the North Pacific experience enhanced winds.

8.11. Impact of Abrupt Climate Change Scenario on Geopolitical Environment

- ✦ The report explores how such an abrupt climate change scenario could potentially **destabilize the geo-political environment**, leading to skirmishes, battles, and even war due to resource constraints such as:
 - 1) **Food shortages** due to decreases in net global agricultural production
 - 2) **Decreased availability and quality of fresh water** in key regions due to shifted precipitation patterns, causing more frequent floods and droughts
 - 3) **Disrupted access to energy supplies** due to extensive sea ice and storminess
- ✦ As global & local carrying capacities are reduced, tensions could mount around the world, leading to two fundamental strategies:
 - defensive & offensive.
 - Nations with the resources to do so may **build virtual fortresses** around their countries, preserving resources for themselves.
 - Less fortunate nations especially with ancient enmities with their neighbors, may initiate in **struggles for access to food, clean water, or energy**.
 - Unlikely alliances could be formed as defense priorities shift and the goal is **resources for survival** rather than religion, ideology, or national honor.

8.12. Peter Schwartz and Doug Randall: Climate Change as a U.S. Security Concern

- ❖ Indications today that global warming has reached the threshold where the thermohaline circulation could start to be significantly impacted.
- ❖ These indications include observations documenting that North Atlantic is increasingly being freshened by melting glaciers, increased precipitation, & fresh water runoff making it substantially less salty over the past 40 years.
- ❖ **Report suggests that, due to pot. dire consequences, the risk of abrupt climate change, although uncertain & quite possibly small, should be elevated beyond a scientific debate to a U.S. national security concern**



8.13. Worst Case Conflict Scenario due to Climate Change (2010-2020)

Europe	Asia	United States
<p>2012: Severe drought and cold push Scandinavian populations southward, push back from EU</p> <p>2015: Conflict within the EU over food and water supply leads to skirmishes and strained diplomatic relations</p> <p>2018: Russia joins EU, providing energy resources</p> <p>2020: Migration from northern countries such as Holland and Germany toward Spain and Italy</p>	<p>2010: Border skirmishes & conflict in Bangladesh, India, and China, as mass migration occurs toward Burma</p> <p>2012: Regional instability leads Japan to develop force projection capability</p> <p>2015: Strategic agreement between Japan & Russia for Siberia & Sakhalin energy resources</p> <p>2018: China intervenes in Kazakhstan to protect pipelines regularly disrupted by rebels & criminals</p>	<p>2010: Disagreements with Canada & Mexico over water increase tension</p> <p>2012: Flood of refugees to southeast U.S. & Mexico from Caribbean islands</p> <p>2015: European migration to United States (mostly wealthy)</p> <p>2016: Conflict with Europeans over fish-ing rights</p> <p>2018: Securing North America, U.S. forms integrated security alliance with Canada & Mexico</p> <p>2020: DoD manages borders & refugees from Caribbean & Europe.</p>

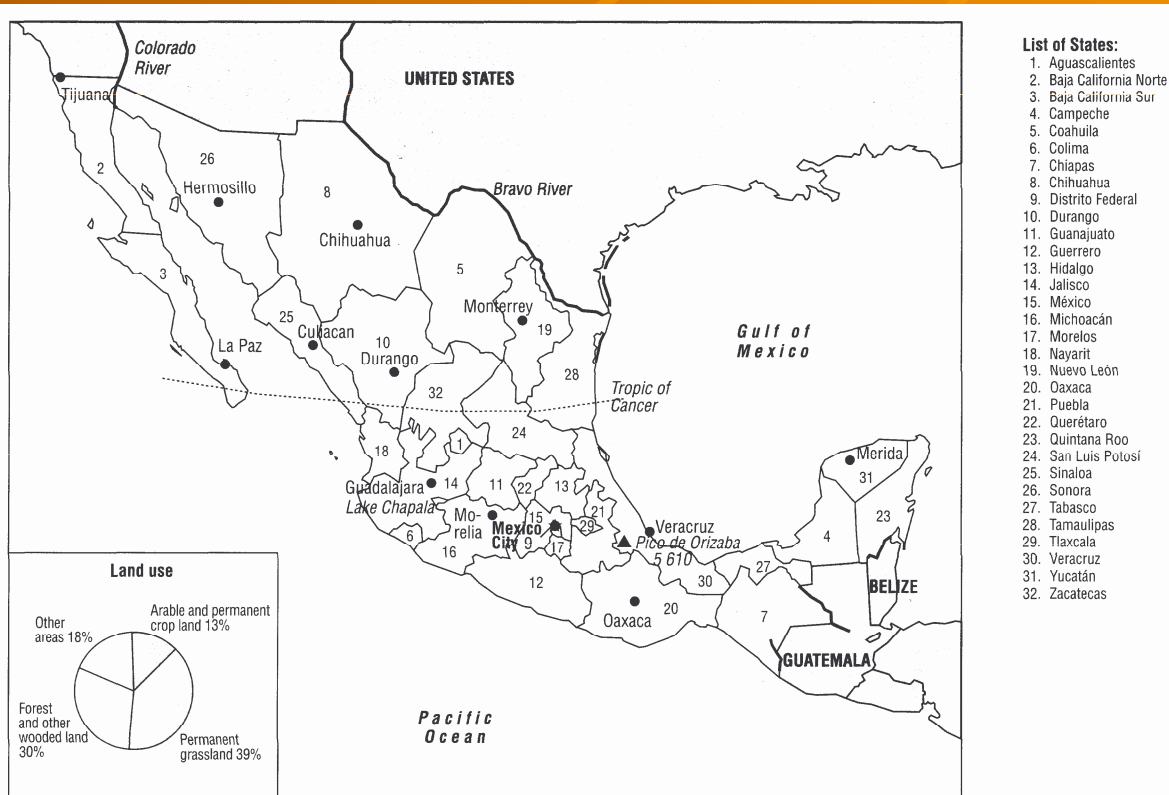
8.15. BMU Study Design

- Case studies on **Mexico, Bangladesh and Egypt** and the **regional study on the Mediterranean** focus on different climate zones, eco-regions (tropical, subtropical, semi-arid & arid).
- They are affected by different impacts of sea-level rise, temperature increases & extreme weather events, storms, flooding, forest fires & drought.
- The probable consequences of the environmental impacts on the conflict dimension may affect different levels from the global, international, and regional to national, societal and to the human level (*human security*).
- The five case studies apply the same criteria & they include the most recent UN data of projections of population growth until 2050, urbanisation until 2030 and for specific cities until 2015.

8.14. Second Case Study on Mexico

- ❖ Case study on Mexico distinguishes the impacts for the northern, the central and the southern region of Mexico on
 - desertification, on
 - declining precipitation and the
 - increasing demand for water (population growth & urbanisation).
- ❖ Included is the projected decline in the areas suitable for the production of maize without irrigation and on the decline in the yield of some agricultural products.
- ❖ Due to projected trends and impact of severe weather events that have caused severe damages in Central America during 1990s, t
 - he pressure for (trans)migration from Central America to Mexico & from there to the United States & Canada will increase in the decades to come.

8.16. Second Case Study on Mexico



- ✦ **Climate change & populations growth matter**
- ✦ **First Nat. Comm. (97): 59.6% - 75% of land would become unsuitable for maize production due to climate change**
- ✦ **Climate change directly affects mortality rate through heat-waves or floods or indirectly through infectious illnesses (malaria, dengue, cholera, typhus).**
- ✦ **The growing urban centres imply a growth in demand for water, and those in arid & semi-arid areas will be affected by water shortage**

8.17. Desertification-induced Drought, Migration & Famine and Conflicts

- ✿ **Desertification is a slow-onset environmental challenge to security and survival, especially for the poor.**
 - Affects the individual, family, village, region and their security
 - Affects survival of rural population: contributes to rapid urbanisation
 - Vicious circle: Poverty contributes to desertification and desertification often intensifies poverty.(dual cause and effect relationship)
- ✿ **Drought, migration and famine are situational challenges to security and survival, especially for the poor.**
 - Drought as a hydro-meteorological hazard (partly caused by Climate change and its interaction with desertification) has forced people to leave their home and livelihood
 - Drought has often resulted in famine and/or food price increases that often led to strikes, hunger revolts, domestic crises and conflicts.

8.18. Impacts of Desertification: Migration, Urbanisation and Internal Displacement

1994 Almería Symposium
on Desertification and Migration
The Almería Statement, 1994:

Socio-political dimensions

- of 50 conflicts: 20 env. dimension
- Major factor of geopolitical instability
- Urbanisation: accelerates impoverishment of land, resources & people

Policy priorities

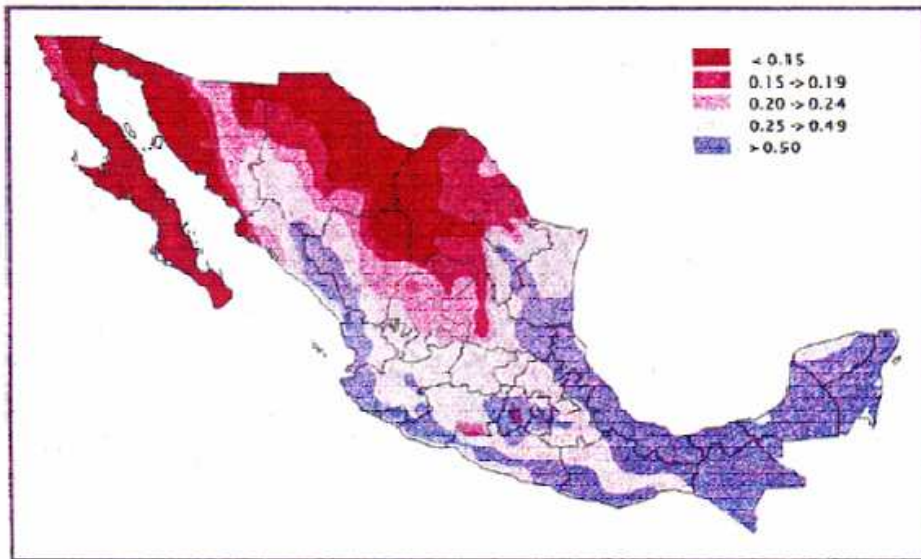
- IDP in arid, semi-arid lands > impose severe pressure on scarce natural land
- Prevention of involuntary desertif.-induced migration: sustainable agriculture
- Regional planning: harmonise agricultural production with development of medium-scale towns in rural areas



© Sebastiao Salgado:
Refugee child

8.19. Desertification, Migration and Conflict – Case of Mexico: Annual Aridity & Precipitation

Index of Aridity

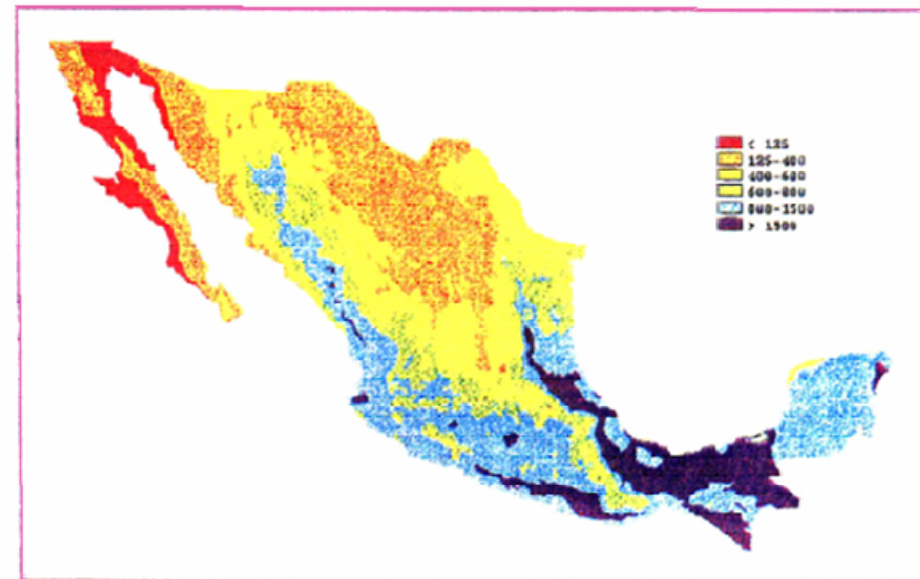


Ratio between annual precipitation
and average evaporation

< 0.15	very arid area (desert)
0.15 - 0.20	arid area
0.20 - 0.25	semi arid area
0.25 - 0.50	dry and subhumid area
> 0.50	humid area

Source:
Atlas Nacional del Medio Físico de México de INEGI
Mapas Temáticos de INEGI
Atlas Nacional de México de UNAM

Annual Precipitation

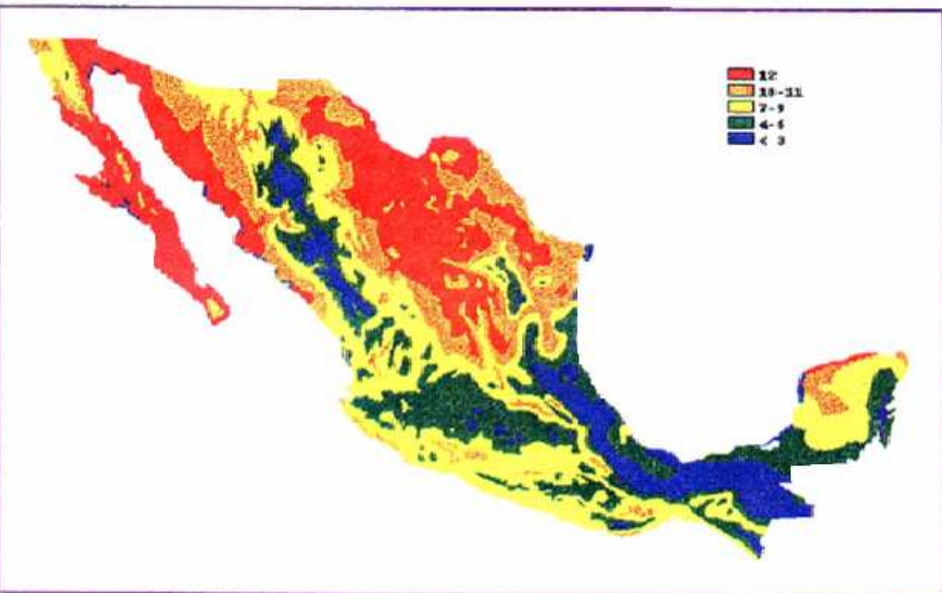


0 - 125 mm	0 - 5 inches
125 - 400 mm	5 - 16 inches
400 - 600 mm	16 - 24 inches
600 - 800 mm	24 - 31 inches
800 - 1500 mm	31 - 59 inches
> 1500 mm	> 59 inches

Source:
Atlas Nacional del Medio Físico de México de INEGI
Mapas Temáticos de INEGI
Atlas Nacional de México de UNAM

8.20. Desertification, Migration and Conflict – Case of Mexico

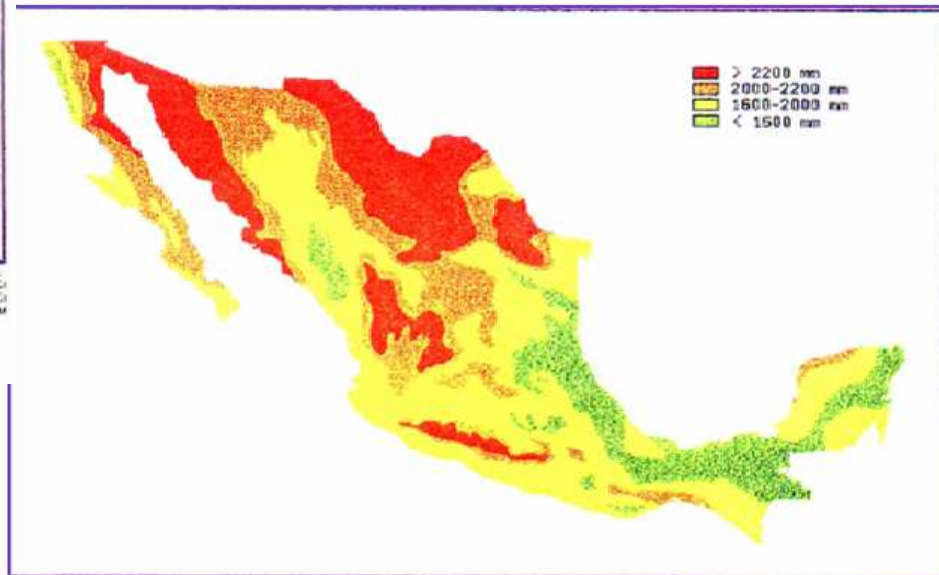
Average Number of Dry Months Per Year



Months:	
12	arid area
10 - 11	semi-arid area
7 - 9	dry and subhumid areas
4 - 6	humid area
< 3	very humid area

Sources:
Atlas Nacional del Medio Físico de México de INEGI
Mapas temáticos de INEGI
Atlas Nacional de México de UNAM

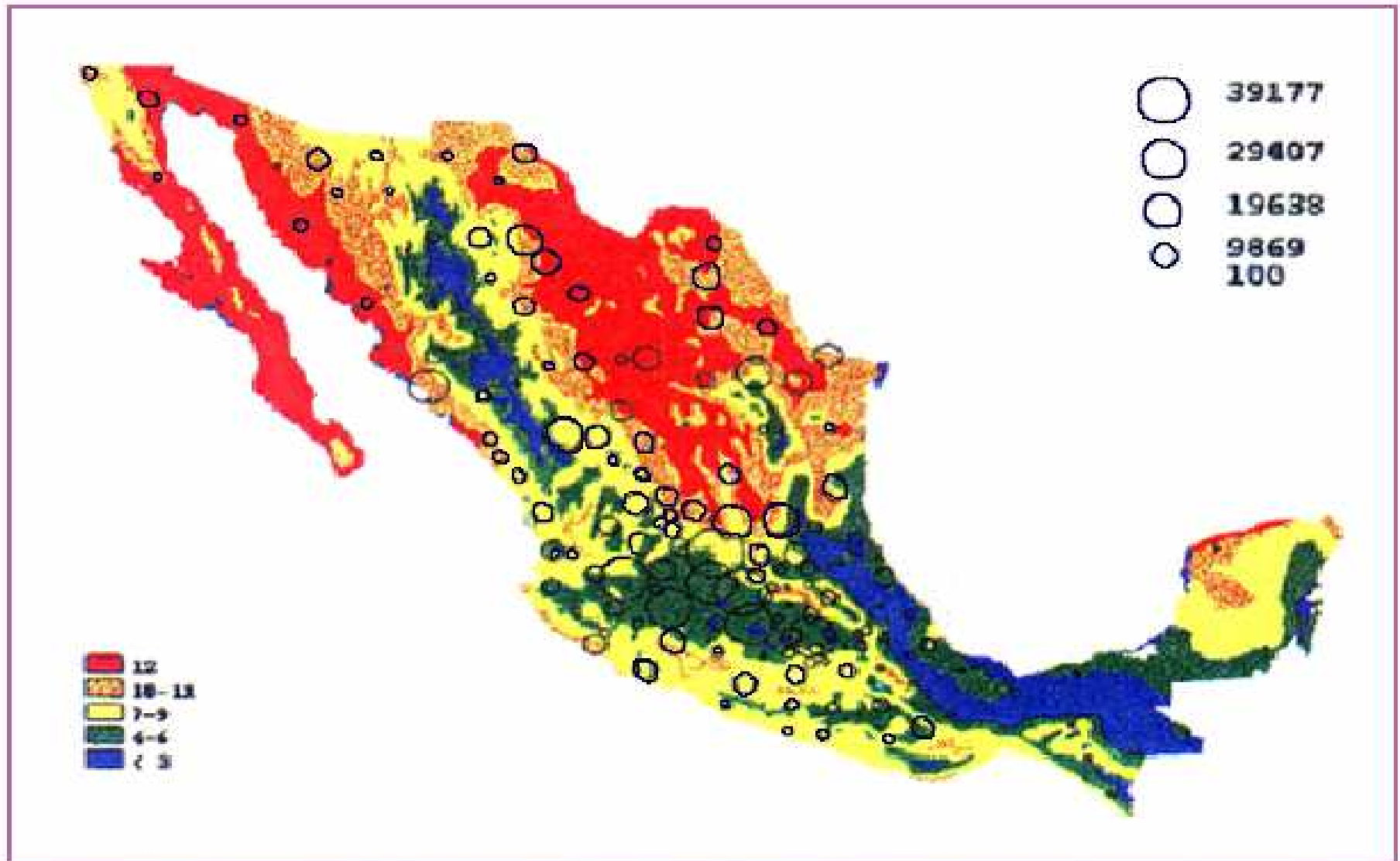
Average Annual Evaporation



> 2200 mm	> 83.6 inches
2200 - 2000 mm	78.7 - 86.5 inches
2000 - 1600 mm	62.9 - 78.6 inches
< 1600 mm	< 62.8 inches

Sources:
Atlas Nacional del Medio Físico de México de INEGI
Mapas temáticos de INEGI
Atlas Nacional de México de UNAM

Number of Dry Months and Migration



Number of dry months and flow (estimation for 1993) of Mexican migrants living and working in the US, surveyed on the border on their return to Mexico (spatial distribution according to their region of birth in Mexico, rural and urban localities).

Source:
Survey on Mexican US migratory flow (CONET)
Atlas Nacional de México de 1994
Sistema de Información Geográfica y Estadística de la
Frontera Norte (CONET-ONITOM)

8.22. Desertification, Migration and Conflict – Case of Mexico

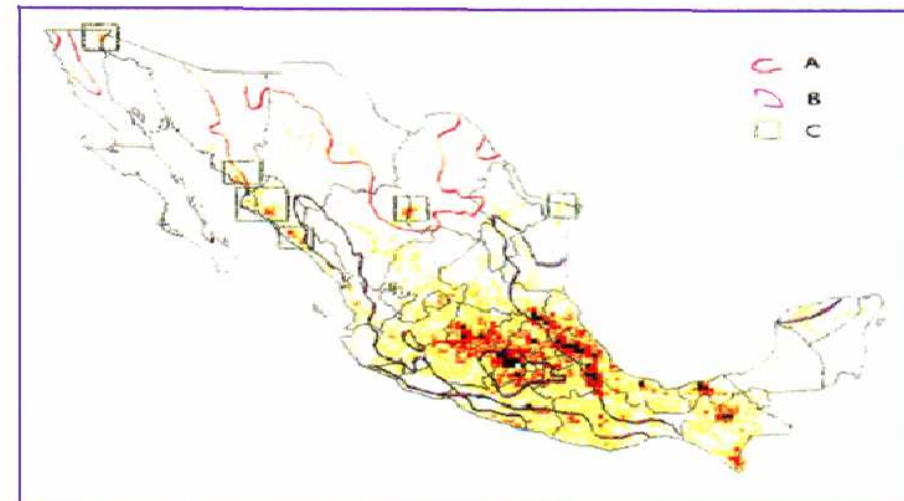
Rural Migration and Aridity



- Arid and dry areas (< 0.50)
- Humid area (> 0.50)
- Flow of Mexican migrants in 1995, living and working in the U.S., surveyed on the border on their return to Mexico (spatial distribution according to the region of last residence in rural localities of Mexico).

Sources:
- Sistema de Información de Migración Rural (SIMR)
- Atlas Nacional de Migración Rural
- Sistema de Información Geográfica y Estadística del INEGI
- Encuesta Nacional de 1995 (ENEP)

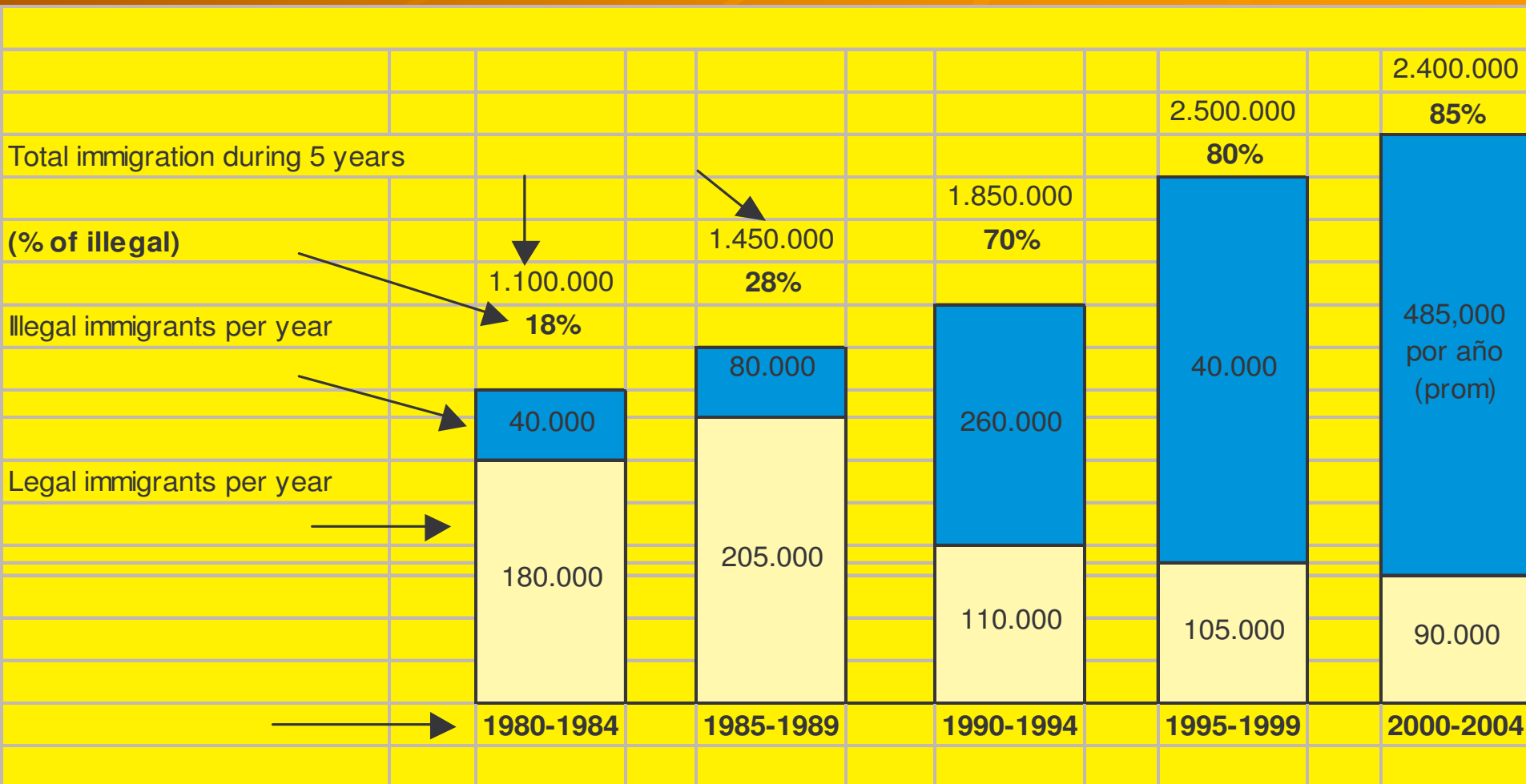
Aridity and Density of Rural Population



A- Transition from arid to dry area
B- Transition from dry to humid area
C- Arid area with irrigation
Density of rural population (living in localities of less than 2500 inhabitants) in 1990 (X Mexican Census).

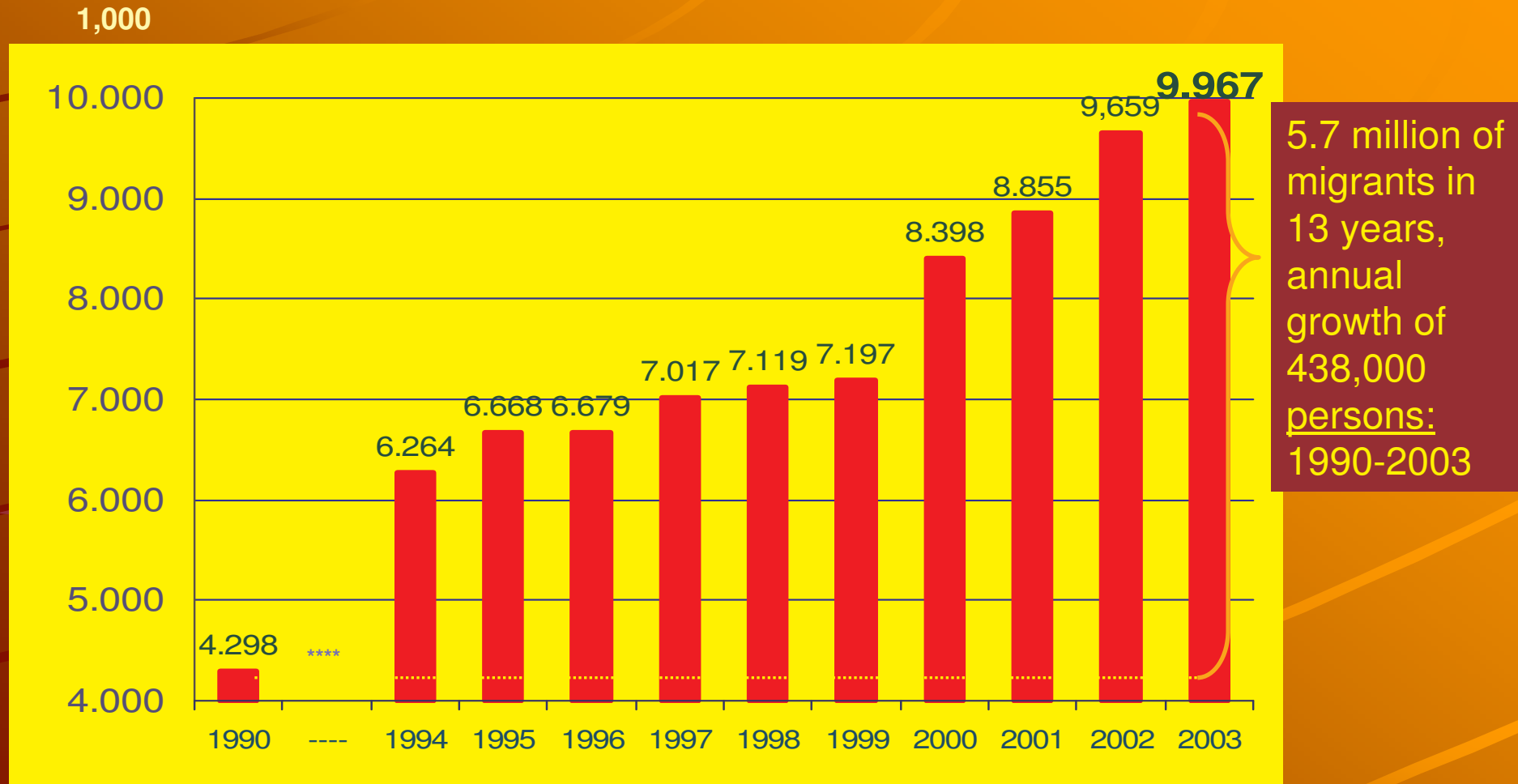
Sources:
- Censo de Población y Vivienda, 1990
- Atlas Nacional de Migración Rural
- Sistema de Información Geográfica y Estadística del INEGI
- Encuesta Nacional de 1995 (ENEP)

8.23. Migrants to USA from Mexico by Legal Status



Source: Pew Hispanic Center, Estimation of the Amount and Characteristics of Undocumented Population Living in USA

8.24. Mexican Migrants to USA 1990-2003 (1000 Persons)



SOURCE: Public-use files from the US Census Bureau, Current Population Survey, March Supplement, elaborated by Fernando Lozano, 2005

9. Response: Policy Goal:

Early Warning & Reducing Social Vulnerability By Empowerment & Resilience Building

◆ To environmental scarcity, degradation & stress:

- **Proactive climate policy:** reduce greenhouse gases by shifting to nonfossil energy resources, especially renewables
- **Combat desertification and soil erosion:**
- Cope with water scarcity & degradation by demand-side management and alternative supply (desalination with renewables)
- Cope with population growth, rural emigration and urbanisation

◆ To extreme outcomes of GEC, hydro-meteorological hazards & severe societal consequences:

- **Reducing the hazard impact by enhanced early warning against multiple hazards and reducing social vulnerability by improved resilience**
- **Improved policy of conflict resolution, prevention and adaptation and mitigation against challenges of GEC that may lead to conflicts (anticipatory learning & conflict avoidance)**

9.1. Instruments and Actors for Dealing with Desertification as a Security Issue

Reactive Security Policy: Dealing with the Consequences

- Rapid disaster response: humanitarian community dealing with drought & famine & migration & conflicts
- Coping with domestic & trans-border violence: police & armed forces

Proactive Security Policy: Addressing the Causes

- ✦ Global environmental policy and combined efforts of
 - Desertification: UNCCD regime (Secretariat in Bonn)
 - Climate Change: UNFCCC regime (Secretariat in Bonn), Kyoto Protocol
 - Reproductive Health: UNPF (slowing down demand)
 - Improved Water Conservation, Harvesting and Management
 - Sustainable Agriculture: FAO, WFP
 - Dealing with urbanisation: Habitat

Task: Reduce costs & impact of drought and societal consequences by early warning of famine, migration & conflict!

10. Policy Implications for Human & Environmental Security

✦ Conclusion:

- Environmental Security: Widening of scope & actors
- Human Security: shifting from state to humankind

✦ Task for Research:

- Development the environmental dimension of human security
- Introduce human security concerns into environmental security
- Develop the fourth phase of research on HESP

✦ Task for Policy:

- Mainstream early warning of hazards & conflicts
- Develop anticipatory learning and proactive policies to mitigate against impacts of GEC (climate change)
- Empower people by building resilience and reducing social vulnerability by poverty eradication policies

10.1. Broaden Policy Constituency: Climate Change, Disaster & Early Warning (disaster & conflict) & Conflict Prevention Community)

Four constituencies without scientific & policy interaction

- ❖ **Early Warning communities (global, regional)**
 - of natural hazards and disasters (UNISDR, EWC)
 - of crises and conflicts
- ❖ **Adaptation and Mitigation efforts**
 - Against climate change (IPCC community)
 - Against natural hazards and disasters (UNISDR, GDIN, etc.)
 - 2 conferences in June 2002: by Dutch (Actor specific) & German (research specific) Foreign Ministries
- ❖ **Mainstreaming of these efforts is needed**
 - early warning of hazards, crises & conflicts (IPCC community)
 - Against natural hazards and disasters (UNISDR, GDIN, etc.)
- ❖ **Major Clients: EU-ECHO: funder & UN-OCHA: coordination**

10.2. From Research to Action: Enhancing Environmental & Human Security

- ✦ **Primary Goal:** address fatal outcomes of GEC: hazards and disasters, migration, crises & conflicts that may have been caused, triggered, induced, influenced by: a) environmental stress and b) extreme weather events,
- ✦ **Enhance Environmental Security:** Address human behaviour that contributes to GEC via climate change, soil degradation, water pollution & scarcity: sustainable strategies
- ✦ **Enhance Human Security:** address factors of GEC that challenge survival of individuals, families, villages, ethnic groups
- ✦ **Avoid Environmentally-induced Conflicts:** address structural or causal factors (of Survival Hexagon), e.g. climate policy, combat desertification, cope with water stress.

10.3. Environmental Conflict Avoidance: Addressing Causes & Fatal Outcomes

- ✦ Environmental and human security strategies: address the two values at risk a) **sustainability** (environmental security); and b) **survival** (human security);
- ✦ Deal with the different referent objects of security: a) **ecosystem** (environmental security); and b) individual & mankind (human security);
- ✦ Address the different causes of threat, challenge, vulnerability and risk: a) **mankind** (environm. security); and b) **nature, state, globalisation** (human security);
- ✦ We need **sustainable development strategies** (development, environment policies addressing 6 GWC-factors).
- ✦ We need **survival strategies** (protection & empowerment).

**Thank you
for inviting me and giving me
an opportunity to share with
you our emerging conceptual
ideas.**

**Thank you
for your attention
and patience.**

**Send your comments to:
brauch@onlinehome.de**

